



Cost Modeling for Embedded Digital Systems Design RASSP Education & Facilitation Program Module 57

Version 3.00

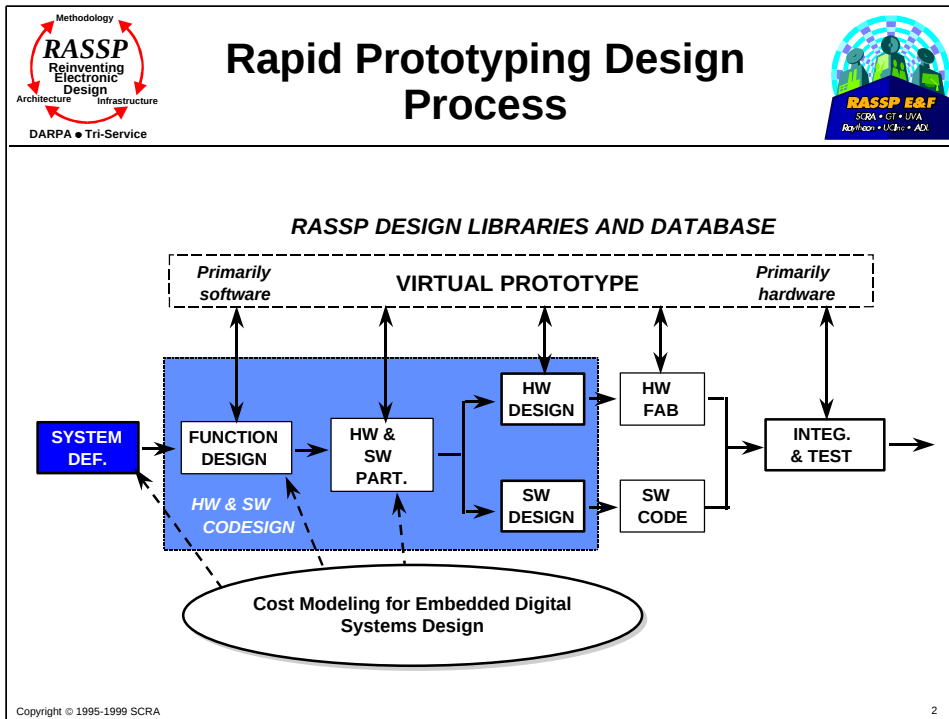
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The Rapid Prototyping Design Process is applicable to all modules in the E&F program. This slide indicates where in the process Cost Modeling for Embedded Digital Systems Design fits.



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Goals

- | **Establish the importance of system engineering constraints on embedded system design.**
- | **Survey the methods of cost estimation and modeling for hardware and software design, implementation, and test.**
- | **Introduce a cost-modeling based design methodology for embedded systems using cost estimators and performance modeling.**
- | **Understand the benefits of cost modeling through application to an embedded system case study.**

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- | Prior use of cost models in software engineering was used to estimate the cost of software development. It has seldom been used to drive the design methodology nor generate architectures for candidate implementations. This module describes how cost modeling can be used in the system synthesis process.



Module Outline

- | **Introduction to Cost Modeling-Based Embedded Systems Design**
 - m Limitations of Typical Design Process
 - m Effect of HW Resource Constraints HW/SW Prototyping Costs/Schedule
 - m Effect of System Development Time on Expected Revenue
 - m Cost Modeling in the Early Stages of Design
- | **Software Cost Estimation Process**
 - m Software Life Cycle Models
 - m Basic Steps in the Software Cost Estimating Process

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- | Embedded systems are unique in the codesign requirements for hardware and software. This unique relationship has an interesting impact on the cost modeling process.



Module Outline (Cont.)



| Parametric Software Cost Models

- m COCOMO
- m REVIC
- m COCOMO 2.0

| Parametric Hardware Cost Models

- m Full Custom
- m Gate Array
- m FPGA



Module Outline (Cont.)



- | **Applications of Cost Modeling in the RASSP Design Process**
 - m **Classifications of System-Level Design & Test Methodologies**
 - m **Example Automated Cost-Driven Architecture Selection/Sizing Model**
 - m **Case Study: Synthetic Aperture Radar (SAR) Image Processor**
 - m **Results: Detailed Cost Analysis for SAR Benchmark**
- | **Summary and Major Issues**



Module Outline



- | **Introduction to Cost Modeling-Based Embedded Systems Design**
- | **Software Cost Estimation Process**
- | **Parametric Software Cost Models**
- | **Parametric Hardware Cost Models**
- | **Applications of Cost Modeling to the RASSP Design Process**
- | **Summary and Major Issues**



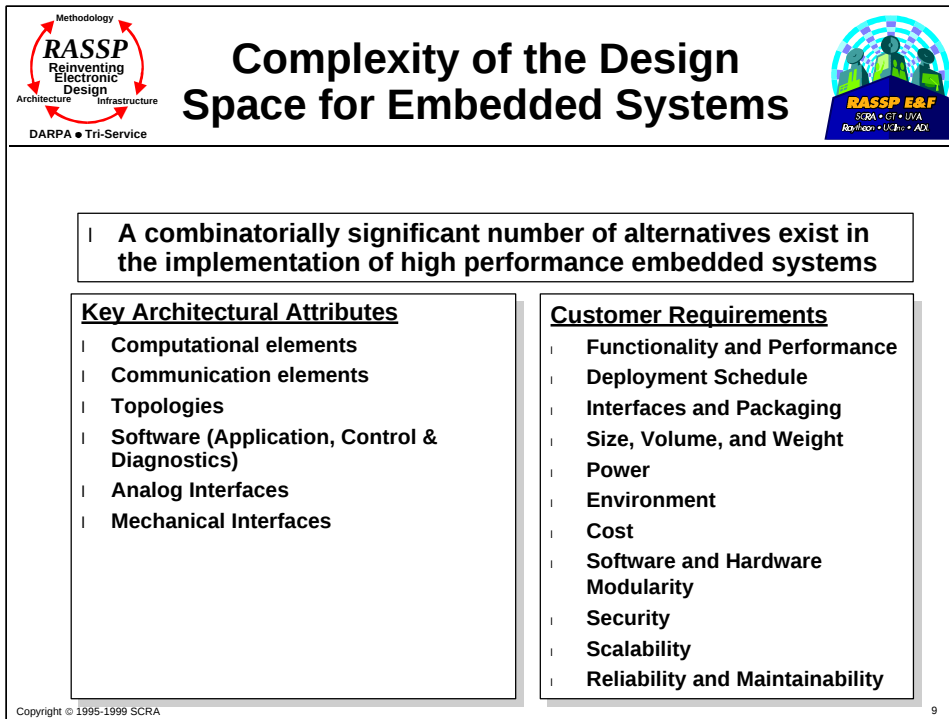
Motivation for Cost Modeling in the Design Process



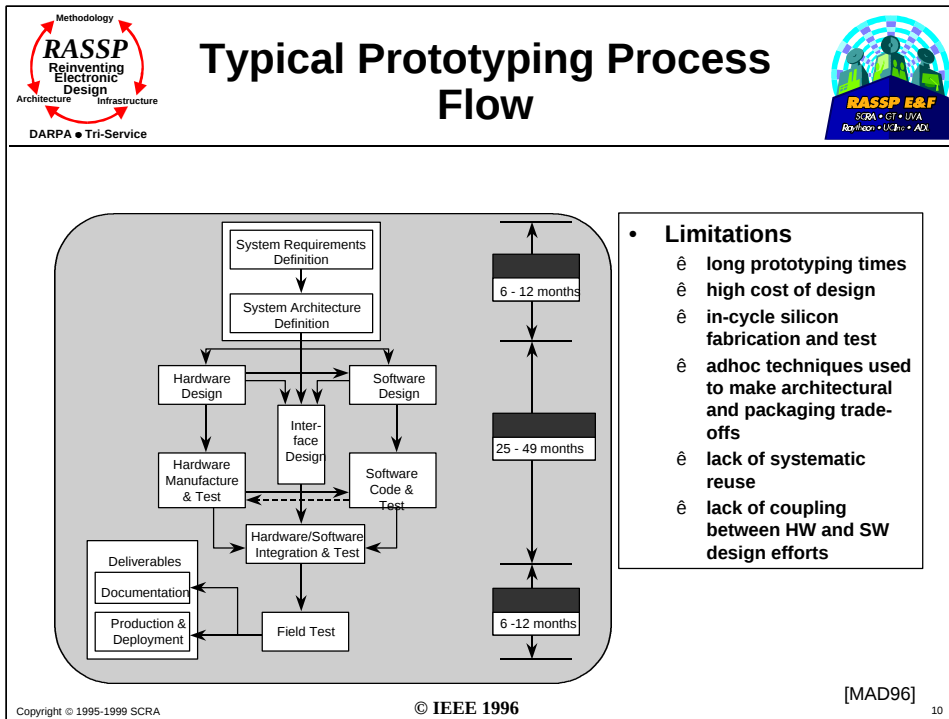
- I **Design Challenge:**
 - m **Designers of high-end embedded systems or large volume commercial consumer products are faced with the formidable challenge of rapidly prototyping cost-effective implementations which meet:**
 - q **Stringent performance specifications**
 - q **Formidable functional and timing requirements**
 - q **Tight physical constraints**
 - I **System *life cycle cost* and *time-to-market cost* are key factors which determine successful products in the competitive electronics marketplace**
 - m **These key factors should have a dominant influence on the design of embedded microelectronic systems**

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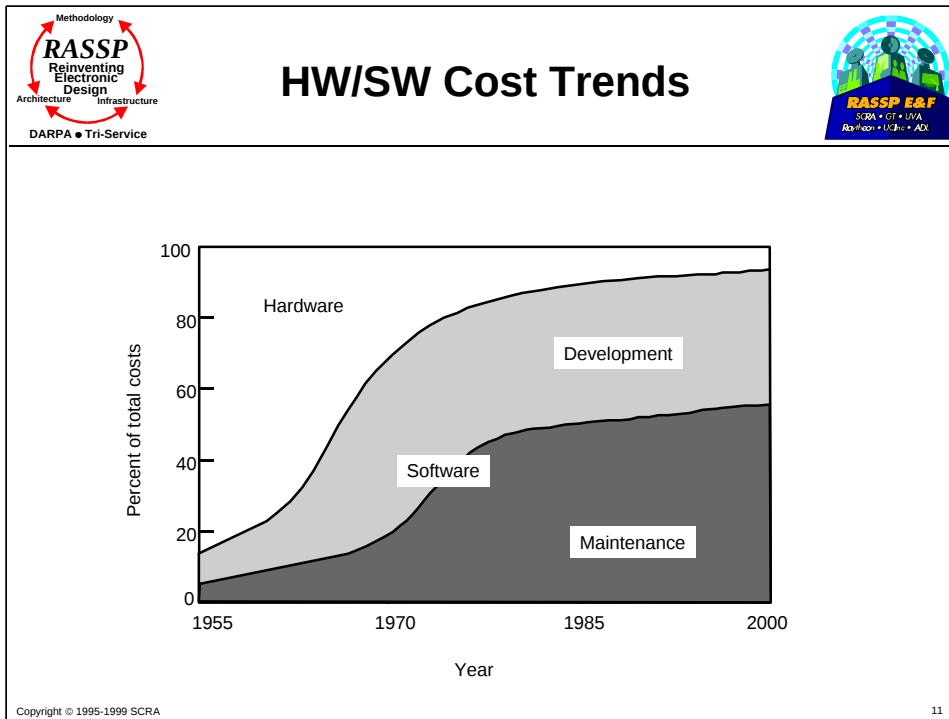
- I Embedded system design places considerable importance on timeliness of the product, and its low cost. Due to the interacting nature of the hardware design and the software design phases in embedded system design, cost modeling assumes a greater role, and often drives the design process. For example, a low cost strategy may require the use of off-the-shelf parts for a system with a limited production run and a short time to market. On the other hand, for large production volumes with a sufficient design time available, cost modeling would, in all likelihood, prescribe a custom solution for the design of the product.



- | Example embedded systems range from automotive anti-lock brake systems on the low-end to high performance radar and video systems on the high-end.
- | System complexity has quickly grown from millions of operations per second to billions of operations per second.
- | Systems which could once be implemented in hardwired or uniprocessor architectures, must now consist of arrays of programmable multiprocessors to meet performance requirements.
- | The design task is further complicated by the requirement that these systems meet stringent form factor constraints.



- | The above figure illustrates a typical design methodology for high performance embedded systems.
- | Approach is plagued with long prototyping times, high cost of design, and limited architectural exploration.
- | Written requirements are used to specify system functionality and constraints which, in turn, foster ambiguity in their interpretation.
- | Hardware subsystems and application software are not integrated until late in the design process.
 - | Significant design flaws go undetected until the integration step.
 - | Design errors are very costly because hardware/software integration does not occur until after hardware has been fabricated.
- | Development costs range from \$20 million to \$100 million.
- | Prototyping times range from 37 to 73 months.



- | Over the past 40 years, the percentage of total system costs attributable to software has increased drastically as the use of COTS hardware is becoming more common. Recent systems are to the right where a system has less than 20% hardware cost, as opposed to over 80% cost for the software component.
- | This software growth creates a tremendous challenge for the software engineering profession. The challenge is twofold:
 - | To significantly increase software development productivity
 - | To increase the efficiency of software maintenance



Example for Modeling Software Development Cost/Time



Embedded Mode REVIC (REVised Intermediate COCOMO) Model

- Predicts software development life-cycle costs
- Costs including requirements analysis through completion of software acceptance testing
- Maintenance life-cycle costs for fifteen years

Software Development Effort

$$S_E = A \cdot KSLOC^B \cdot F_E \cdot F_M \cdot F_{SCED} \cdot \prod_{i=1}^{16} F_i$$

where

- | **KSLOC** - thousands of source lines of code
- | **A** - constant used to capture the multiplicative effects on effort with projects of increasing size (**DEFAULT = 4.44**)
- | **B** - scale factor which accounts for the relative economies or diseconomies of scale encountered for software projects of different sizes (**DEFAULT = 1.2** -> diseconomy)
- | **F_i's** - cost drivers which model the effect of personnel, computer, product, and project attributes on software cost

Software Development Time

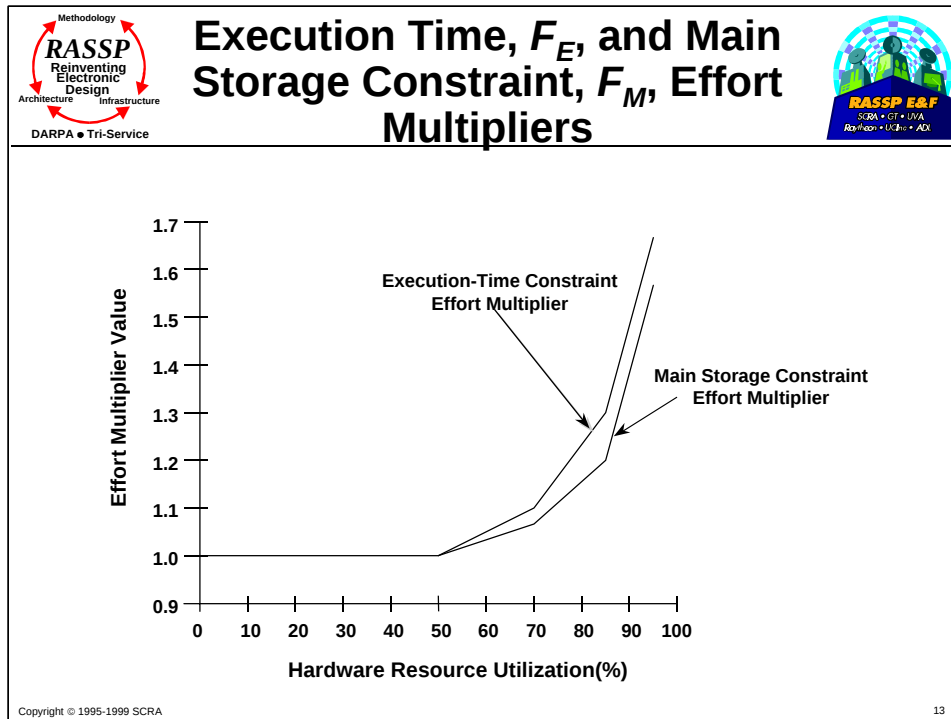
$$S_T = C \cdot S_{Enom}^D \cdot \frac{PSCED\%}{100}$$

where

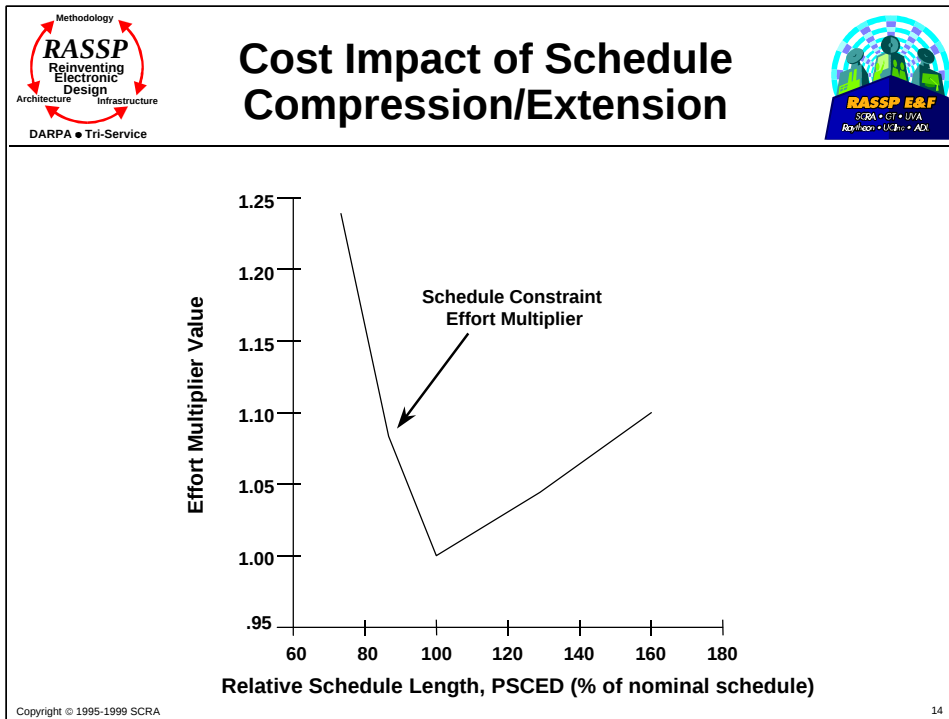
- | **C** - constant used to capture multiplicative effects on time with projects of increasing effort (**DEFAULT = 6.2**)
- | **D** - scale factor which accounts for the relative economies or diseconomies of scale encountered for projects of different required efforts (**DEFAULT = 0.32**)
- | **PSCED** - the percent compression/expansion to the **nominal deployment schedule**
- | **F_E, F_M, F_{SCED}** - execution time, main storage, and schedule constraint effort multipliers

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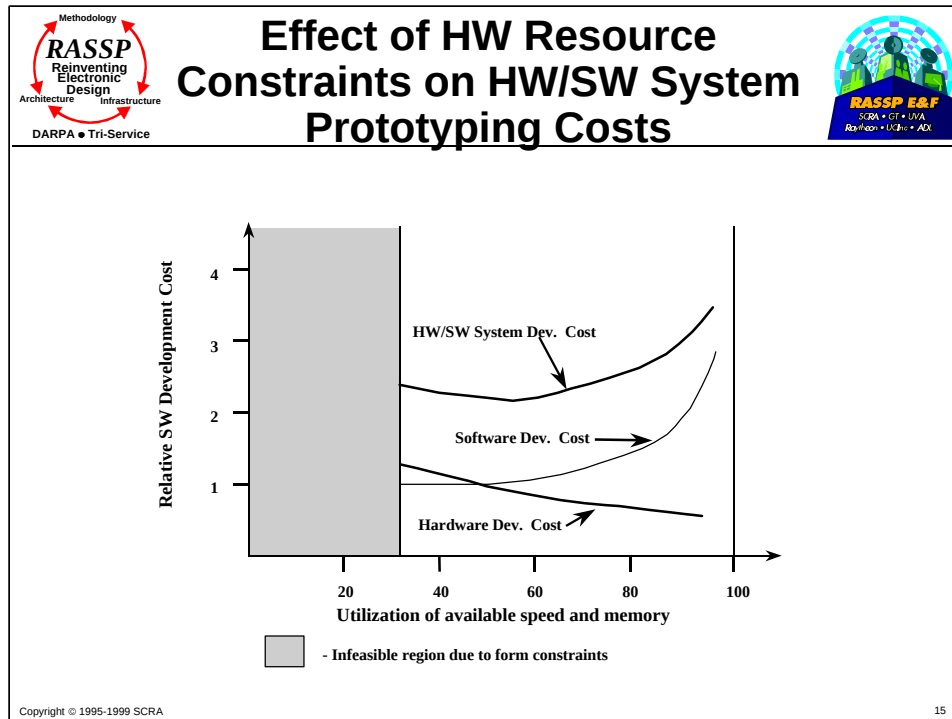
- | For more information, see [AF95].
- | It is noted that the effort (in man months) is proportional to a power of the number of lines of source code. The exponent depends on the size of the project. There are more than a dozen multiplier coefficients, F, that modulate the values predicted by the source code. These factors or coefficients reflect the particular characteristics of the target application (real-time or non real-time), or the platform (embedded or mainframe), the software team (expert, novice), and the methodology used (object oriented, etc).
- | The software development time depends on the software effort, and the amount of schedule compression required. Given a certain schedule for a certain amount of effort, if we are required to compress that schedule, we may have to assign a different set of resources (people and tools) to the task. In some cases, adding resources can actually delay the task.



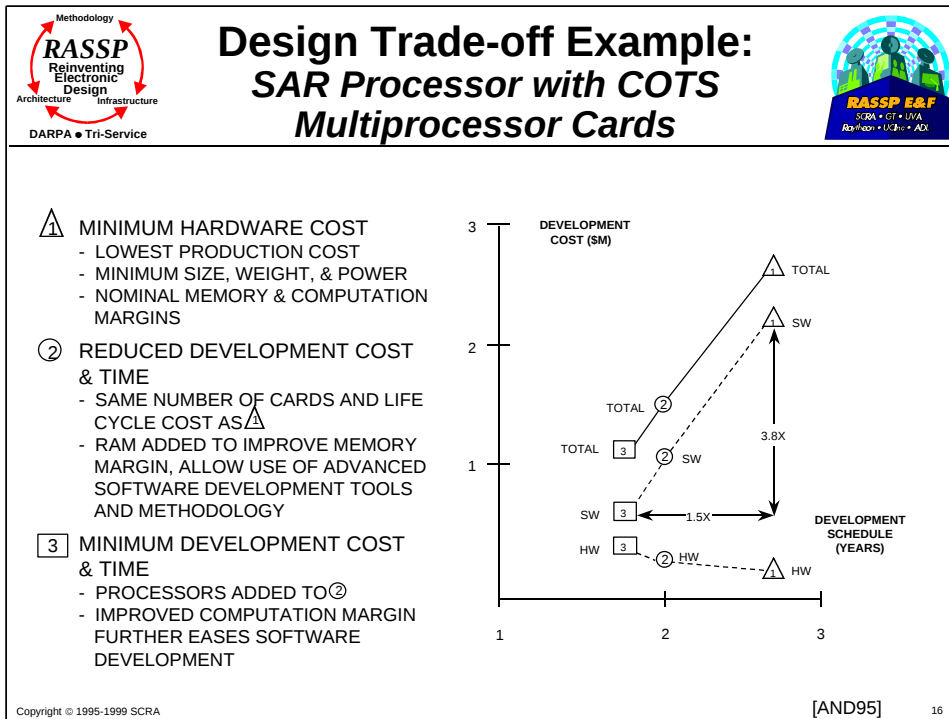
- | This graph shows the relation between the execution time and main storage constraint effort multipliers and the CPU and memory utilizations, respectively.
- | The effort multipliers significantly increase as the resource utilization rises above 50%.
 - | The impact is extreme as the utilization approaches 95%.
 - | This is because of the extreme difficulty in designing code when the margins for error are very small.
- | The effort multipliers scale the software development effort.
- | For more information, see [BOEHM81].



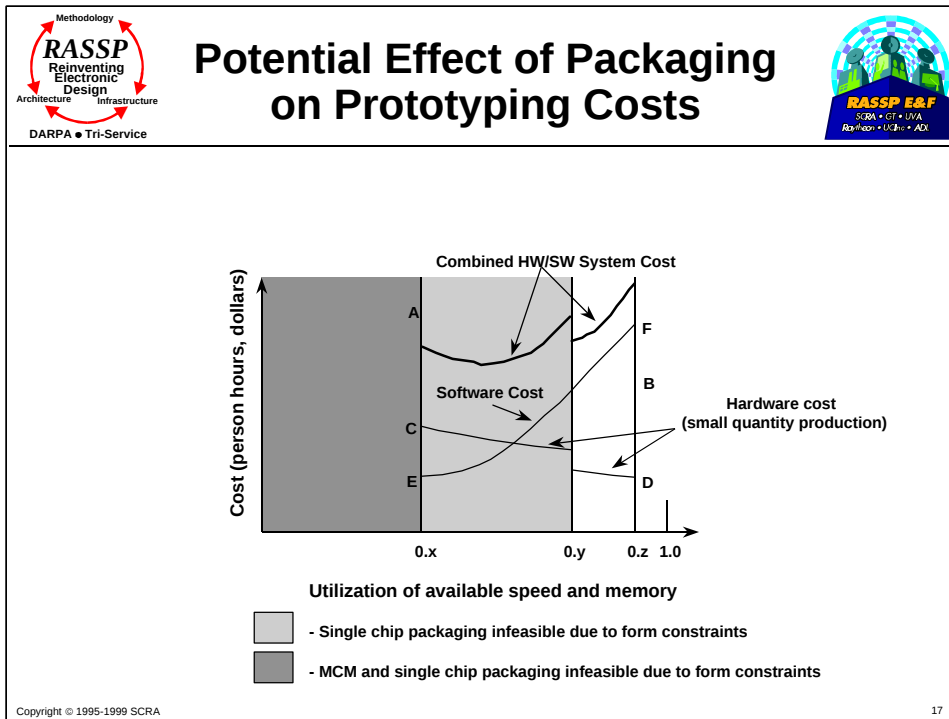
- | When faced with the prospect of long development schedules which will cause a product to be delivered to market late, many managers attempt to compress the schedule by throwing more people (e.g. person-hours) at the problem.
- | This graph shows that schedule compression has the adverse effect of greatly increasing the schedule constraint effort multiplier value, thereby increasing the overall software development cost.
- | This increase in cost is probably due to the larger labor force, which in turn increases communication problems, thereby adding errors and inefficiencies within the team.
- | For more information, see [BOEHM81].



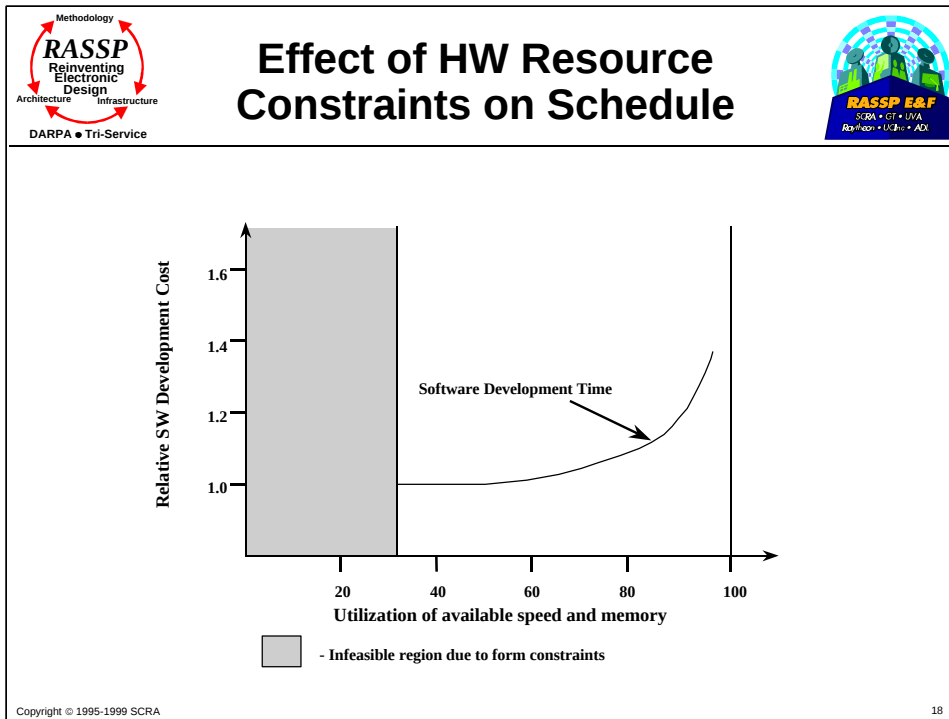
- | Traditional system-level design and test methodologies attempt to minimize hardware costs for the purpose of minimizing overall system costs.
- | Accomplished by maximizing hardware resource utilization, which leads tight execution time and memory budgets
- | However, the above graph illustrates an often overlooked software prototyping principle [MAD95].
 - | Various parametric studies based on historical project data show that software is difficult to design and test if 'slack' margins for HW CPU and memory resources are overly restrictive.
 - | Software developers must interact directly with the operating system and/or hardware in order to optimize code to meet system requirements
 - | Integration and test phase is particularly increased because resource constraints usually are not pushed until all software pieces come together
 - | In systems in which most hardware is simply commercial-off-the-shelf (COTS) parts, the time and cost of software prototyping and design can dominate the schedule and budget.
 - | If physical constraints permit, the hardware platform can be relaxed to achieve significant reductions in overall development cost.



- I In all three cases, use of a commercial off-the-shelf multiprocessor card solution is assumed, but details of the processor and memory margins differ.
- I If the designer focuses entirely on minimizing hardware cost, the software development cost is nearly four times that of a design which seeks to minimize the overall development cost and time.
- I The curves compare development cost and time for a synthetic aperture radar processor under three different assumptions regarding computation and storage requirements.
- I The minimum hardware cost implementation uses only six MCV6-4x4m cards with a 88% execution time utilization and 86% memory utilization.
- I Resulting hardware component cost is \$100,000 plus the cost of the six cards - \$281,000. Software cost development cost and time are \$2,360,000 and 32 months, respectively. (total cost - \$2,640,000)
- I The reduced development cost/time implementation uses six MCV6-4x8m cards, thereby decreasing memory utilization to 43% allowing for the use of advanced software development tools and methodology.
- I Software development cost and time decrease to \$1,030,000 and 24 months; while hardware cost slightly increases to \$315,000. (total cost - \$1,350,000)
- I The minimum development cost/time implementation uses eleven MCV6-4x4m cards with less than 50% memory and processor utilization.
- I This further reduces software development cost and time to \$620,000 and 21 months; while hardware increases to \$432,000. (total cost - \$1,050,000)



- | Multi-chip module technology allows for increased packaging density over single-chip packaging
- | This increased packaging density can allow for more slack to be added to the hardware architecture without violating system-level form factor constraints
- | This added slack margin can possibly lead to significant software cost reductions
- | However, the reduction in software cost is traded off against the increase in production costs due to MCM manufacturing.



- | This graph shows that hardware constrained architectures can also significantly increase system development time, especially when COTS hardware components are being used.
- | If physical constraints permit, the hardware platform can be relaxed to achieve significant reductions in overall development cost .
- | This increased software development time can be attributed to the same factors which lead to an increase in software development cost.



Effect of Schedule Delays on Time-to-Market Cost



- | **Time-to-market costs can often outweigh design, prototyping, and production costs**
- | **Reasons:**
 - m **When competitors beat you to market with a comparable product, they gain considerable market share and brand name recognition**
 - m **An earlier market entry has more opportunity to improve yield, thereby improving profits**
 - m **Design and prototyping costs are an up front investment that must produce a return as soon as possible**
 - q **The longer investors must wait for a return, the higher the return must be**

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- | The time to market is a primary driver in the commercial industry. A number of models have attempted to predict the impact of late delivery on the profitability of the product. We do not endorse any particular model, but show that any model can be included within the methodology. The quality of results would depend on the validity of the model.



Time-to-Market Cost (Cont.)



- | **Surveys have shown that being six months late to market resulted in an average of 33% profit loss for that product**
 - m **A 9% production cost overrun resulted in a 21% loss**
 - m **A 50% design development cost overrun resulted in only a 3% profit loss**
- | **Engineering managers stated that they would rather have a 100% overrun in design and prototyping costs than be three months late to market with a product**

| For more information, see [DOANE93].



Time-to-Market Cost Models

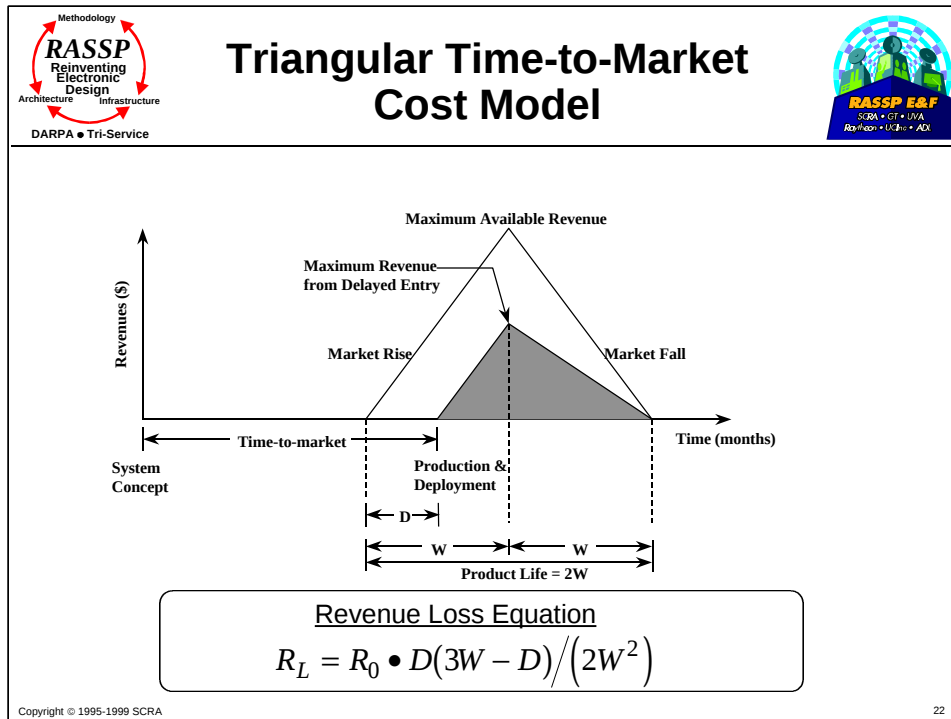


- | **Simple quantitative time-to-market models can be used to estimate the cost of delivering a product to market late**
- | **Examples:**
 - m **Simplified triangular time-to-market model**
 - m **Growth-Stagnation-Decline (GSD) time-to-market model**

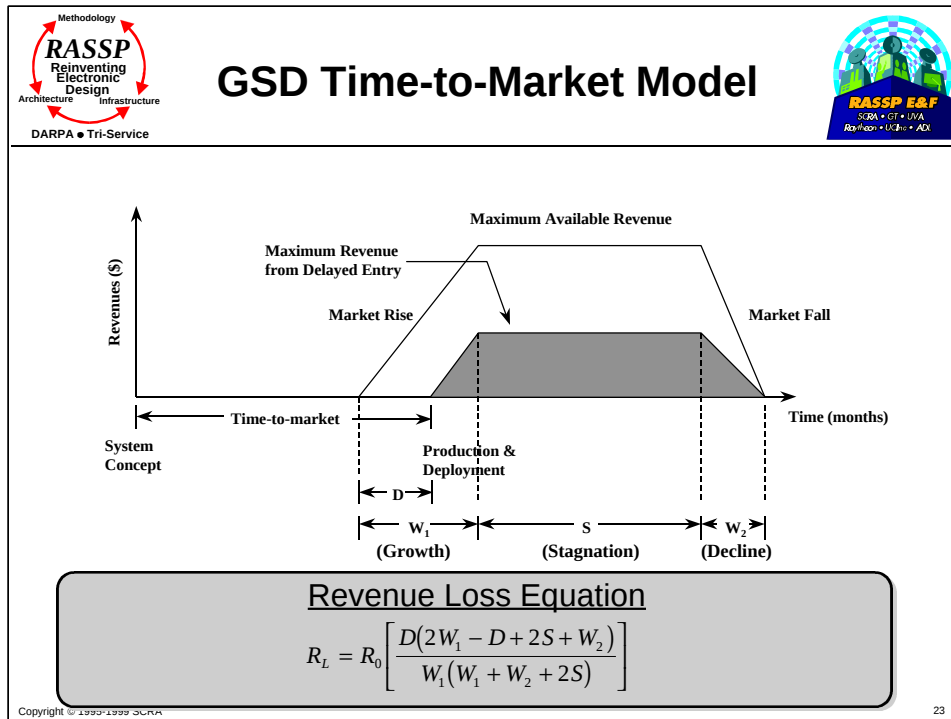
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- | Note that different models apply to commercial and Department of Defense applications. While commercial models stress the importance of time to market, defense application may stress lifetime costs of the system.
- | We do not propose that any specific model is better than others, but that using a cost model is advantageous if it is accurate.



- | The above graph illustrates the effect of delivering a product to market D months late.
- | The non-shaded region of the demand window (triangle) signifies the lost of revenue, R_L , due to late entry in the marketplace.
- | In order to maximize revenues, the product must be on the market by the start of the demand window.
- | If the product life cycle (length is demand window) is short, being late to market can spell disaster.
- | The revenue loss equation:
 - | R_0 refers to the expected product revenue if it were on time
 - | D is the delay (months) in delivering a product to market
 - | $2W$ is the length of the product life cycle(months)
- | For more information, see [LIU95].



- | This model partitions the product lifetime into three stages:
 - | a market growth window W_1
 - | a period of no growth (stagnation) S
 - | a market decline W_2
- | Market growth phase: The product begins to gain market acceptance and sales tend to grow rapidly as the product reaches mass market.
- | Stagnation: As the product matures, sales are largely limited to repeat customers, since the majority of potential customers have already made their first choices. During this phase, there is no growth in the market.
- | Market decline: As technology advances and superior products are launched, product sales will begin to decline. This downward trend in sales will continue as the market declines, thereby forcing managers to phase out the product.
- | The non-shaded region of the curve represents the lost revenue R_L .
- | R_0 is the total expected revenue if the product were on time, i.e $D = 0$.
- | To maximize revenues, the product must be on the market by the product deployment deadline.
- | For more information, see [LEVITT92].



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Economic Cost-Driven System-Level Design



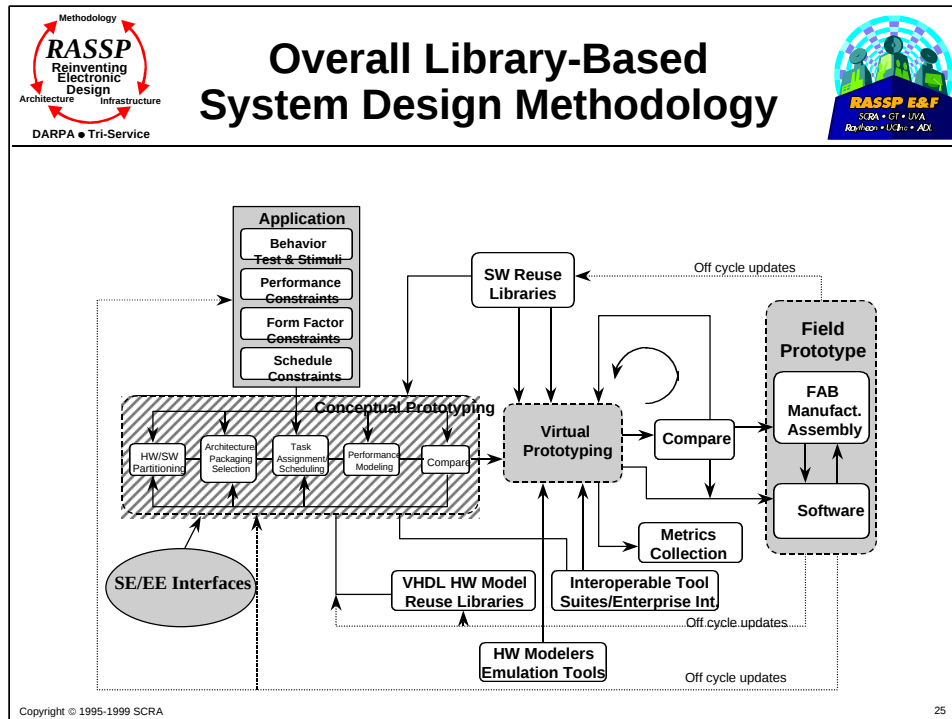
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- | To effectively address these system-level design challenges, a unified approach that considers the cost attributes of software options and hardware options is required.
- | **Solution: *Economic Cost-Driven System-Level Design***
 - m This concept attempts to converge the hardware and software design efforts into a combined methodology that improves cost, cycle time, and quality, which enhancing the exploration of the HW/SW design space.
 - m Parametric cost and development time estimation models are used to drive the design process
 - m A cost estimation-driven architecture design engine is seamlessly integrated within a hardware-less, library-based cosimulation and coverification environment for rapid prototyping

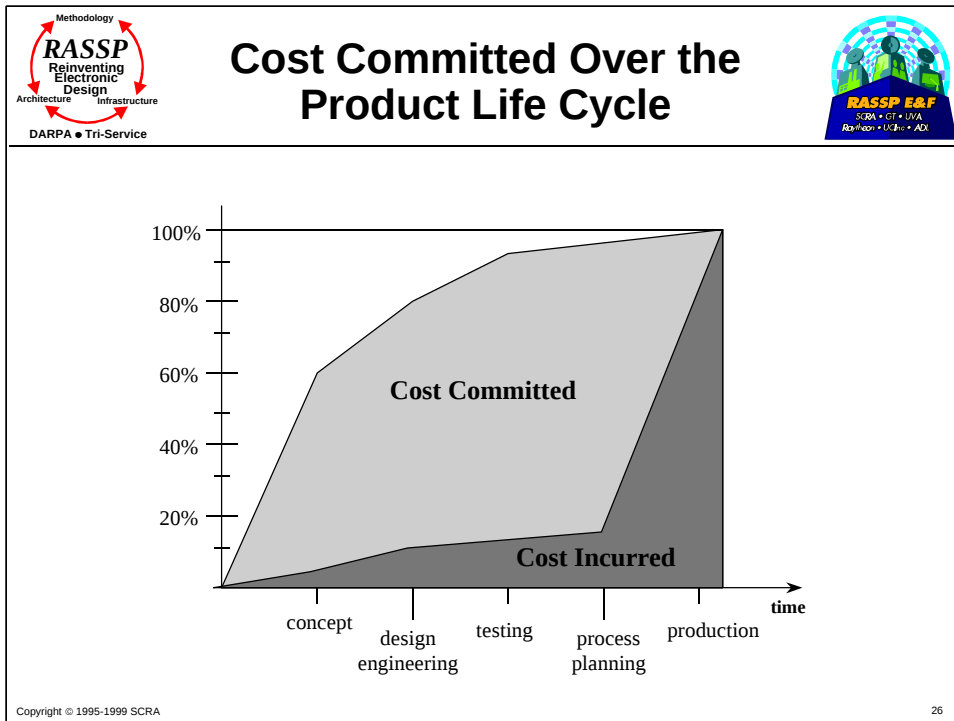
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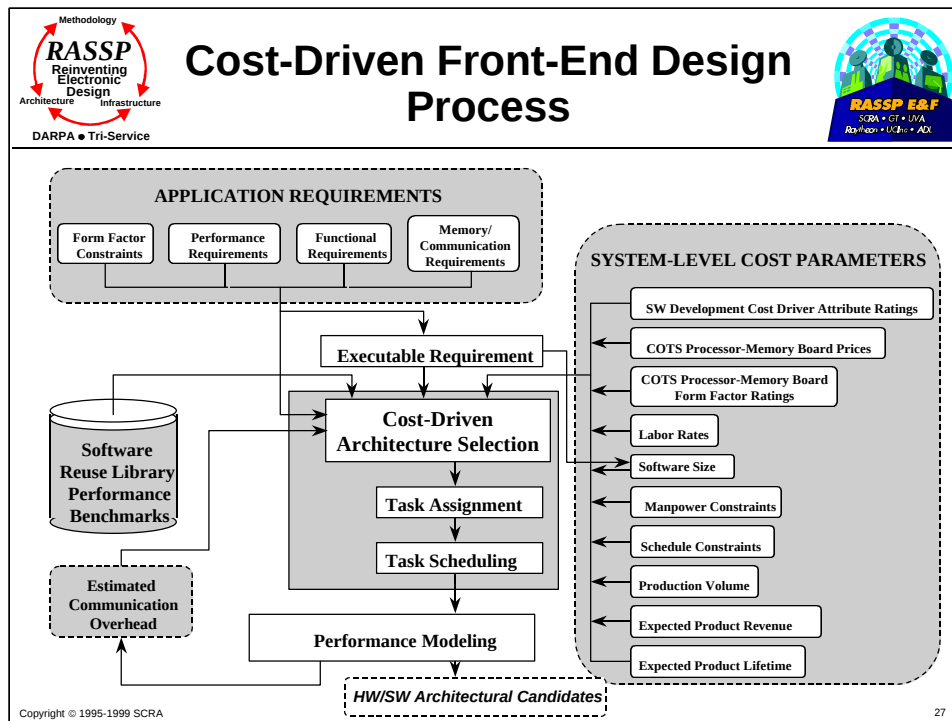
- | With product cycles having durations of a few months, cost modeling can be quickly verified over the developmental cycle, and thus accurate cost estimates can be derived for future products



- | The above diagram illustrates the design process [MAD95]. The application specification serves as input to the conceptual prototyping stage.
- | Design and schedule constraints as well as behavioral specifications drive the conceptual design process.
- | The conceptual design stage presents the best opportunity to utilize cost modeling techniques to develop minimal cost designs.
 - | 80% of a product's final cost is determined by decisions made in the first 10% of the design cycle [SM94].
 - | At this stage, high-level architectural trade-offs are made which only require rough cost estimates
 - m Many universal (non-calibrated) parametric cost models are claimed by their developers to provide cost estimates within 20% of actuals 70% of the time which is sufficiently accurate to make high level design trade-offs.
 - m These cost models are used to perform HW/SW partitioning, architecture selection, and packaging selection.
 - | VHDL performance models are used to verify that these candidate architectures meet performance requirements.
- | For more information, see [DEB97] and [MAD95].



- | Cost-effective embedded microsystems could benefit more from emphasizing cost-related issues during the early stages of design, than in the later stages.
- | The figure depicts the cost committed versus the cost incurred over the product life cycle.
- | The figure shows that a major portion of the projected life cycle cost for an electronic product stems from the consequences of decisions made during the early stages of design.
- | Cost-effective products can only be produced by applying a high degree of cost emphasis during the early planning stages of design.
- | For more information, see [Business Week 4-30-90].



- | The above diagram identifies where parametric cost models can be used in the front-end design process.
- | Application requirements, system-level cost parameters, and DSP software library performance benchmarks serve as input to this design stage.
- | Architectural trade-offs are made based on parametric cost estimates of software development, time-to-market losses, and maintenance.
- | Example design objectives:
 - | Choose the architectural platform which minimizes life cycle cost and/or maximizes profits while satisfying system-level design constraints.
 - | Efficiently span the HW/SW design space
- | The application task graph is then mapped to the architectural platform and scheduled.
- | The performance of the resulting HW/SW architecture(s) is then verified using VHDL performance models.
- | For more information, see [DEB97].



Module Outline

- | Introduction to Cost Modeling-Based Embedded Systems Design
- | **Software Cost Estimation Process**
- | Parametric Software Cost Models
- | Parametric Hardware Cost Models
- | Applications of Cost Modeling to the RASSP Design Process
- | Summary and Major Issues

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- | We now present some methods that are used to make quantitative calculations of the software effort, the parameters of a model, and its dependence on the design methodology.



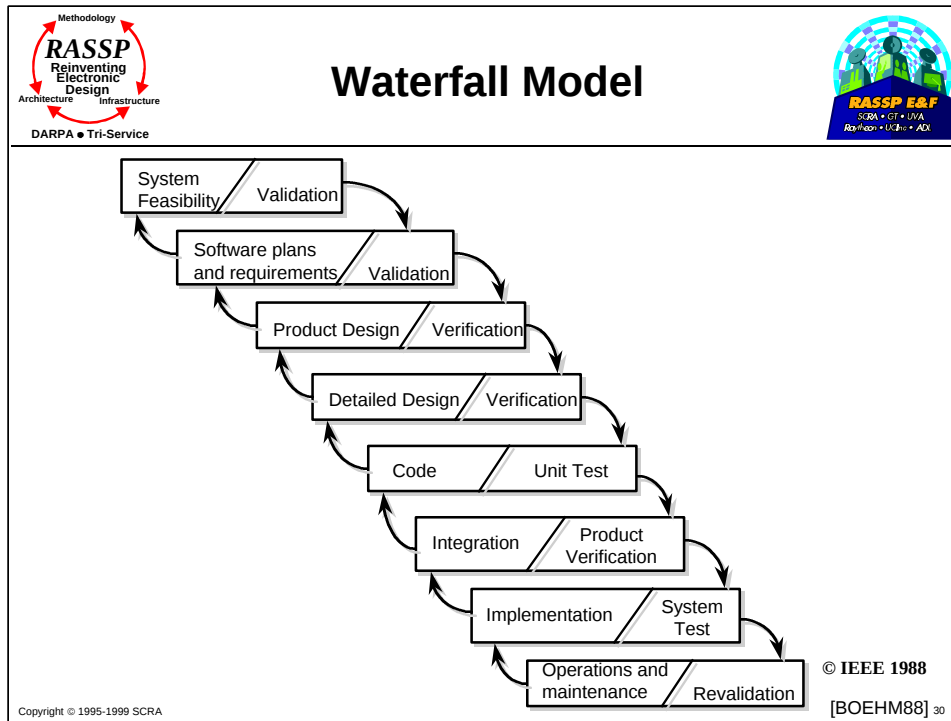
Software Life Cycle Models



- | **Software life cycle models identify various phases and associated activities required to develop and maintain software**
- | **Some common life cycle models include:**
 - m **Waterfall model**
 - m **Spiral development model**
 - m **Reusable software model**
- | **Models form a baseline from which to begin the software estimation process**

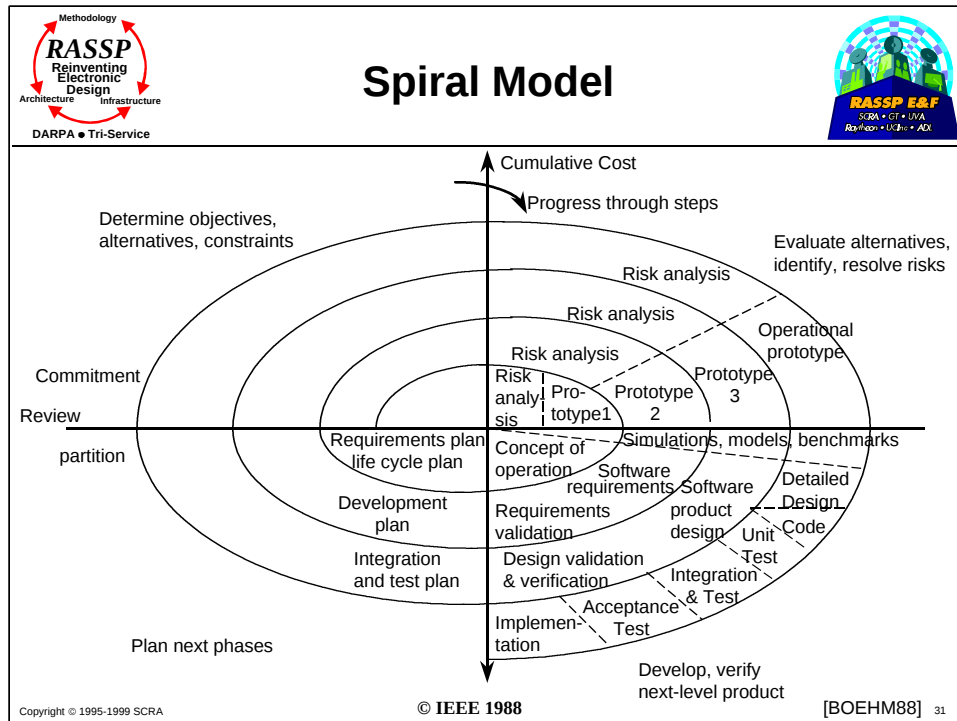
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- | **Note:** Extensions to the waterfall model cover:
 - | incremental development
 - | parallel development
 - | program families
 - | accommodation of evolutionary changes
 - | formal software development and verification
 - | stagewise validation and risk analysis
- | Detailed explanation of waterfall model in next slide



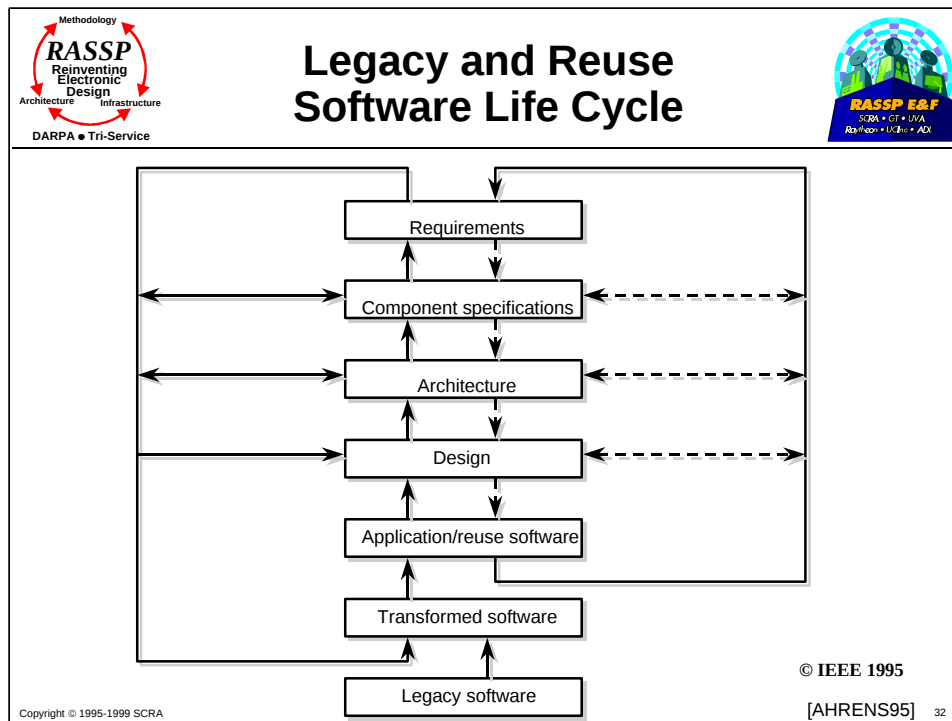
I Characteristics of the waterfall model

- I Emphasis on fully elaborated documents as completion criteria for early requirements and design phases
- I Iterations of earlier phase products are performed in the next succeeding phase
- I Each phase is culminated by a verification and validation activity



I Spiral Model of the software process-

- I Each cycle involves a progression that addresses the same sequence of steps for each portion of the product and for each of its levels of elaboration, from an overall concept of operation document down to the coding of each individual program



- | Requirements - Domain or application software requirements defined in terms of functionality, capabilities, performance, user interface, inputs, and outputs
- | Component specifications - Domain or application software requirements specified in terms of capabilities of hardware and software components and interfaces. This state is exemplified by the software specifications in DOD Military Standard 498, "Software Development and Documentation," December 1994.
- | Architecture - The hierarchy of software components , rules for component selection, and interfaces between components
- | Design - Program interfaces, control flow, and logic defined in greater detail
- | Application/reuse software - In application software, a unique software product; in software reuse, a library of adaptable reusable software components. The reuse software components are tested, verified, and validated
- | Transformed software - Legacy software restructured and translated, if needed, into a modern programming language
- | Legacy software - Application software created in a previous traversal of a software life cycle



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Basic Software Cost Estimation Process



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- | **The software cost estimation activity is a miniproject**
- | **Basic Steps**
 - m **Define project objectives and requirements**
 - m **Plan the software estimation activities**
 - q **Develop detailed work breakdown structure (WBS)**
 - m **Estimate software size**
 - m **Define and weigh potential software estimation risks**
 - m **Use several independent cost estimation methodologies**

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- | What this slide suggests is a methodology for the cost modeling activity itself and methods for starting it within an organization.
- | These various sub-activities are discussed in the following slides.



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Establish Objectives



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Guidelines for establishing the objectives of a cost estimation activity

- 1. Key the estimating objectives to the needs for decision making information**
- 2. Balance the estimating accuracy objectives for the various system components of the cost estimates**
- 3. Re-examine estimating objectives as the process proceeds, and modify them where appropriate**

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- | The main factor that helps to establish cost-estimation objectives is the current software life-cycle phase.
 - | Corresponds to the level of knowledge of the software whose costs is being estimated, and also to the level of commitment that will be made as a result of the estimate
 - | Guideline #1 refers to absolute estimates for labor or resource planning, relative estimates for either/or decisions, generous or conservative estimates to heighten confidence in the decision.
 - | Guideline #2 recommends that the absolute magnitude of the uncertainty range for each component be roughly equal - assuming that such components have equal weight in the decision to be made.
 - | A further implication of guideline #3 is that budget commitments in the early phases should cover only the next phase. Once a validated product design is complete, a total development budget may be established without too much risk.



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Pin Down Software Requirements



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- | **The best way to determine to what extent a software specification is costable is to determine to what extent it is testable.**
- | **A specification is testable to the extent that one can define a clear pass/fail test for determining whether or not the developed software will satisfy the specification.**
- | **In order to be testable, specifications must be specific, unambiguous, and quantitative wherever possible.**

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- | Examples of testable specifications
 - | The software shall compute aircraft position within the following accuracies:
 - m + or - 50 ft in the horizontal plane
 - m + or - 20 ft in the vertical plane
 - | The system shall respond to:
 - m Type A queries in ≤ 2 sec
 - m Type B queries in ≤ 10 sec
 - m Type C queries in ≤ 2 min
 - m where Type A, B, and C queries are defined in the specification

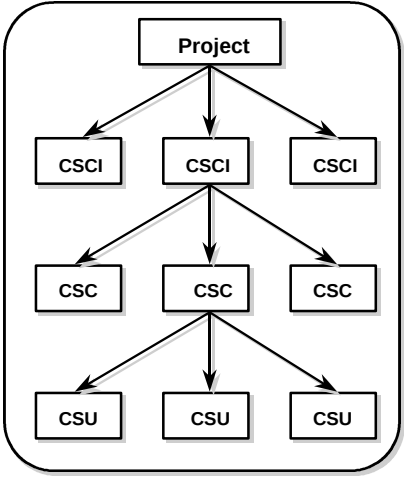


Develop a Software Work Breakdown Structure (WBS)



- | **The WBS helps to establish a hierarchical view and organization of the project**
- | **The WBS should depict only:**
 - m **major software functions**
 - q **computer software configuration items (CSCI)**
 - m **major subdivisions**
 - q **computer software components (CSC)**
 - q **computer software units (CSU)**
- | **The WBS should include all software associated with the project regardless of whether it developed, furnished, or published**

Software WBS



```

graph TD
    Project[Project] --> CSCI1[CSCI]
    Project --> CSCI2[CSCI]
    Project --> CSCI3[CSCI]
    CSCI2 --> CSC1[CSC]
    CSCI2 --> CSC2[CSC]
    CSCI2 --> CSC3[CSC]
    CSC2 --> CSU1[CSU]
    CSC2 --> CSU2[CSU]
    CSC2 --> CSU3[CSU]
    
```

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- | In order to facilitate the planning of software cost estimation activities, a work breakdown structure should be developed.
 - | Plan should detail the purpose, products, schedules, responsibilities, procedures, required resources, and assumptions made.
- | The WBS provides a framework for specifying the technical objectives of the program by first defining the program in terms of hierarchically related product oriented elements and the work processes required for their completion.
- | Each element of the WBS provides logical summary points for assessing technical accomplishments, and for measuring the cost and schedule performance accomplished in attaining the specified technical objectives.
- | The WBS should be worked out in as much detail as feasible.
 - | The more detail to which estimating activities are carried out, the more accurate the estimates will be.
 - | The more the functions that software must perform are contemplated, the less likely the costs of some of the more obtrusive components of the software will be missed
 - | The software size estimate, the major software cost driver, is computed by making estimates for the software WBS elements.



Software Size Estimation



I Sizing By Analogy

- m **Involves relating the proposed project to previously completed projects of similar application, environment and complexity**
- m **Basic Steps**
 - q **Develop a list of functions and the number of lines of code to implement each function.**
 - q **Identify similarities and differences between previously developed data base items and those data base items to be developed.**
 - q **From the data developed in the previous steps, select those items which are applicable to serve as a basis for the estimate.**
 - q **Generate a size estimate**

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- I A manual estimate in thousands of source lines of code (SLOC) or function points to the lowest level of detail possible (bottom-up) for each major function within each CSCI based on experience with a similar application and historical data.
- I Software includes application code, operating system, control, diagnostics, and support software.
- I The accuracy of the derived estimate will obviously depend on the completeness and the accuracy of the data used from the previous projects
- I Actual data from completed projects are extrapolated to estimate the proposed project's software size.
- I The strength of this method is that the estimates are based on actual project data and past experience.
- I Limitations
 - I Difficult to identify differences between completed projects and the proposed project
 - I Accuracy of available historical information may be suspect
 - I Similar projects may not exist
 - I Some projects have no historical precedents
- I For more information, see [SEPO96].



Software Size Estimation (Cont.)



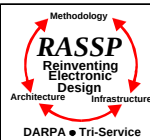
I Function Points

- m **Method of estimating size during the requirements phase based on the functionality to be built into the system**
- m **General Approach**
 - q **Count the number of inputs, outputs, inquiries, master files, and interfaces required.**
 - q **Multiply these counts by the following factors:**

i Inputs	-	4
i Outputs	-	5
i Inquires	-	4
i Master files	-	10
i Interfaces	-	7
 - q **Adjust the total of these products +25%, 0, or -25% based on the program's complexity**

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- I In function point analysis, the number and complexity of inputs, outputs, user queries, files, and external interfaces of the software to be developed are determined.
- I Initial application requirements statements are examined to determine the number and complexity of the various inputs, outputs, calculations and databases required.
- I Points based on established values are assigned to each of these counts and then added to arrive at an overall function point rating for the product.
- I This function point number is directly related to the number of end-user business functions performed by the system.
- I Using data from past projects, it is possible to estimate the size of the software needed to implement these function points (typically about 100 source language statements are needed for each function point) and the labor needed to develop the software (typically about 1 to 5 function points per person-month).
- I This approach is helpful in estimating size very early in a software product's development.
- I For more information, see [SEPO96] and [DOD95].



Software Reuse



- | **Many software products consists of newly developed software and previously developed software**
 - m The previously developed software is adapted for use in the new product
- | **Some effort is required to adapt existing software**
 - m Redesigning the adapted software to meet the objectives of the new product
 - m Reworking portions of the code to accommodate redesigned features or changes in the new product's environment
 - m Integrating the adapted code into the new product environment and testing the resulting software product

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- | Software reuse has been proposed many times in the 80s and 90s as a means to reduce cost of software development, and many ideas are currently being carried over to the hardware and embedded design areas.



Effects of Software Reuse on Size Estimate



- | The effects of reuse are estimated by calculating the Equivalent Number of Source Lines of Code (**ESLOC**)
- | Basic Reuse Model

$$ESLOC = NSLOC + ASLOC \cdot \frac{0.40(DM) + 0.30(CM) + 0.30(IM)}{100}$$

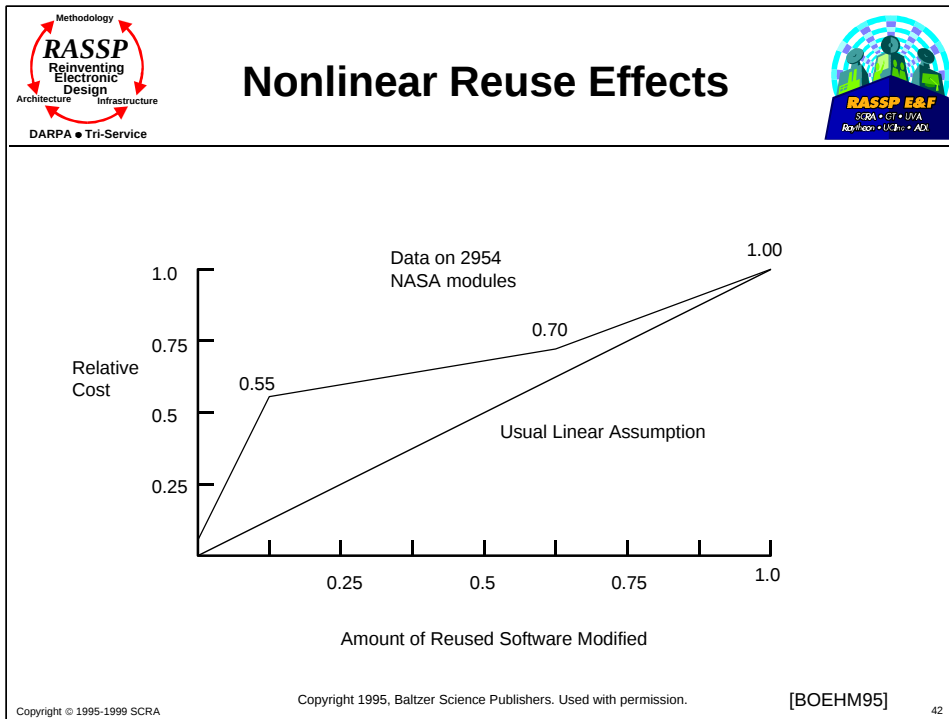
where

- q **ASLOC** is the size of the adapted COTS software component expressed in thousands of adapted source lines of code
- q **NSLOC** is the size of new software component expressed in thousands of new source lines of code
- q **DM** is the percent design modified
- q **CM** is the percent code modified
- q **IM** is the percent of integration required for modified software

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- | **ASLOC**: the number of source lines of code adapted from existing software to form the new product.
- | **DM**: the percentage of the adapted software's design which is modified in order to adapt it to the new objectives and environment.
- | **CM**: the percentage of the adapted software's code which is modified in order to adapt it to the new objectives and environment.
- | **IM**: the percentage of effort required to integrate the adapted software into an overall product and to test the resulting product as compared to the normal amount of integration and test effort for software of comparable size.
- | For more information, see [BOEHM81].



- | The reuse cost function is nonlinear in two ways:
 - | It does not go through the origin. There is generally a cost of about 5% for assessing, selecting, and assimilating the reusable component.
 - | Small modifications generate disproportionately large costs. This is primarily due to two factors: the cost of understanding the software to be modified, and the relative cost of interface checking.



Nonlinear Reuse Model



Nonlinear reuse estimation model

$$ESLOC = NSLOC + ASLOC \times \frac{(AA + SU + 0.4 \times DM + 0.3 \times CM + 0.3 \times IM)}{100}$$

where

- q **SU** is the software understanding increment which is expressed as a percentage (ranges from 10-50%).
- q **AA** deals with the degree of assessment and assimilation needed to determine whether a fully-reused software module is appropriate to the application and to integrate its description into the overall product description (ranges from 0-8%).
- q The remaining variables in the equation are the same as those used in the original COCOMO.

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- | The software understanding increment is based on the level of the adapted software's structure, application clarity, and self-descriptiveness
- | Software structure ratings
 - | *Very Low*: very low cohesion, high coupling, spaghetti code
 - | *Low*: Moderately low cohesion, high coupling
 - | *Nominal*: Reasonably well-structured; some weak areas
 - | *High*: high cohesion, low coupling
 - | *Very High*: Strong modularity, information hiding in data/control structures
- | Application clarity ratings
 - | *Very Low*: no match between program and application world views
 - | *Low*: Some correlation between program and application
 - | *Nominal*: Moderate correlation between program and application
 - | *High*: Good correlation between program and application
 - | *Very High*: Clear match between program and application worldviews
- | Self-Descriptiveness ratings
 - | *Very Low*: Obscure code; documentation missing, obscure or obsolete
 - | *Low*: Some code commentary and headers; some useful documentation
 - | *Nominal*: Moderate level of code commentary, headers, documentations
 - | *High*: Good code commentary and headers; useful documentation; some weak areas
- | Levels of AA effort include: none; basic module search and documentation; some module Test and Evaluation (T&E), documentation; considerable module T&E, documentation, extensive module T&E, documentation
- | For more information, see [BOEHM95].



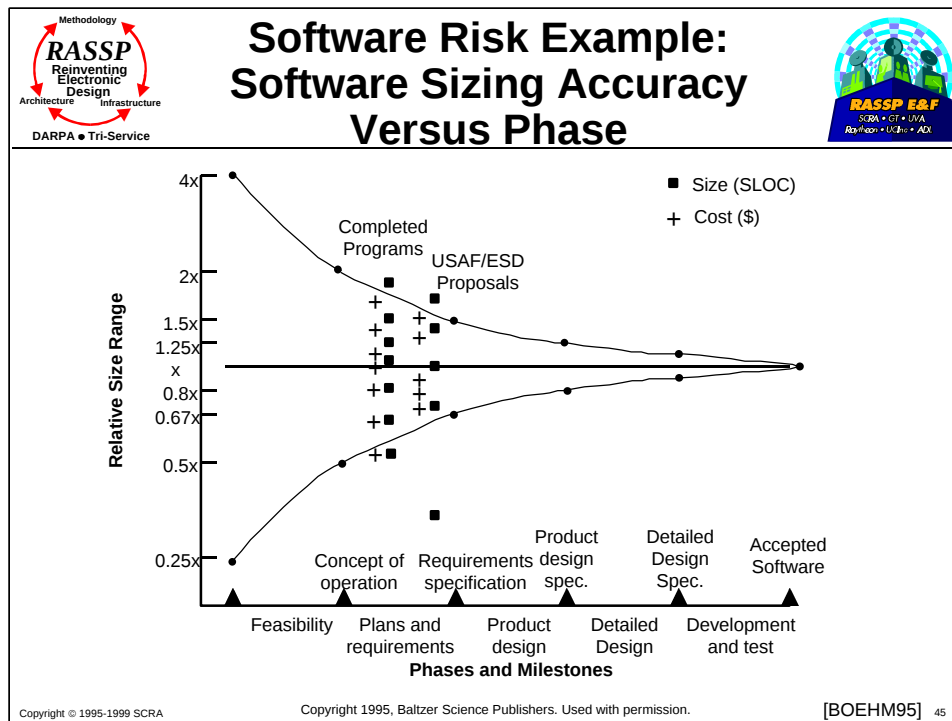
Software Estimation Risks



- | **Adverse effects due to inaccurate software estimation stems from an inability to accurately assess risks associated with various software development projects**
- | **Four major risk areas**
 - m **Software project sizing**
 - m **Specification of development environment**
 - m **Assessment of staff skills**
 - m **Definition of objectives, requirements, and specifications**
- | **All potential risks should be defined and weighed, and impacts to project cost should be determined**

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- | The inability to accurately size the software project results in poor implementations, emergency staffing, and cost overruns caused by underestimating project needs.
- | The inability to accurately specify a development environment which reflects reality results in defining cost drivers which may be inappropriate, underestimated or overestimated.
- | The improper assessment of staff skills results in misalignment of skills to tasks and ultimately miscalculations of schedules and level of effort required.
- | The lack of well defined objectives, requirements, and specifications, or unconstrained requirements growth during the software development life cycle results in forever changing project goals, frustration, customer dissatisfaction, and ultimately, cost overruns.



- | This figure indicates the effect of project uncertainties on the accuracy of software size and cost estimates.
- | In the very early stages, one may not know the specific nature of the product to be developed to better than a factor of 4 (25% -400%).
- | As the life cycle proceeds, and product decisions are made, the nature of the product decisions are made, the nature of the products and its consequent size are better known, and the nature of the process and its consequent cost drivers are better known.
- | Once written requirements have been specified, software costs/size can be estimated with a factor of 1.5 (67% - 150%) from project actuals.
- | The earlier “completed programs” size and effort data points shown above are the actual sizes and efforts of seven software products built to an imprecisely-defined specification.
- | The latter “USAF/ESD proposals” data points are from five proposals submitted to the U.S. Air Force Electronics Systems Division in response to a fairly thorough specification.
- | SLOC - source lines of code



Use Several Independent Estimation Techniques

- | **Techniques available for cost estimation**
 - m Expert Judgment
 - m Analogy
 - m Top-Down
 - m Bottom-Up
 - m Parametric or Algorithmic Models
- | **Important to use a combination of techniques**
 - m None of the techniques are better than the others from all aspects
 - m Their strengths and weaknesses are complementary

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- | More than one software estimation methodology should be used for comparison and verification purposes.
 - | One method may overlook system level activities such as integration, while another method may have included this, but overlooked some key post-processing components.
 - | For more information, see [BOEHM81].



Expert Judgment



- | **These techniques involve consulting one or more experts, who use their experience and understanding of proposed project to arrive at and estimate**
- | **May utilize group consensus techniques**
 - m **Compute median or mean of individual expert estimates**
 - m **Group meeting**
 - m **Delphi (iterative process)**
 - q **Anonymous estimation**
 - q **No group discussion**
 - m **Wideband Delphi (iterative process)**
 - q **Combines the free discussion advantages of the group meeting technique and the advantages of anonymous estimation**

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- | **Wideband Delphi Technique**
 - | Coordinator presents each expert with a specification and an estimation form.
 - | Coordinator calls a group meeting in which the experts discuss estimation issues with the coordinator and each other.
 - | Experts fill out forms anonymously
 - | Coordinator prepares and distributes a summary of the estimates on an iteration form.
 - | Coordinator calls a group meeting, specifically focusing on having the experts discuss points where their estimates varied widely.
 - | The experts review the summary and submit another anonymous estimate on the form.
 - | The last three steps are repeated until a consensus is reached.



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Expert Judgment (Cont.)

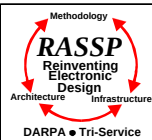


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- | **Strengths**
 - m Able to factor in the differences between past project experiences and the new techniques, architectures, or applications of the future project
 - m Can also factor in exceptional personnel characteristics and interactions, or other unique project considerations
- | **Weaknesses**
 - m The estimate is no better than the expertise and objectivity of the estimator (biases, incomplete recall)
 - m Difficult to strike balance between:
 - q Quick response expert estimate
 - ì timely, efficient, but hard to calibrate and rationalize
 - q Group consensus estimate
 - ì Soundly based but highly time consuming and not always exactly repeatable

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- | While this technique appears ad hoc, it is widely used basis for costing products, market segments, and proposals.



Estimation By Analogy



- | **Reasoning by analogy with one or more completed projects to relate their actual costs to an estimate of the cost of a similar new project**

- | **Strengths**

- m Useful when developing a new product when a systematic historical cost database does not exist
 - m Based on actual experience on a project

- | **Weaknesses**

- m A high degree of judgment is required when making adjustments for differences in analogous products
 - m Not clear to what degree the previous project is actually representative of the constraints, techniques, personnel, and functions to be performed on the new project

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- | If an organization has a legacy of similar projects, or has a database that records historical cost information, it may serve as a good starting point for the baseline cost of a new similar activity.



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Top-Down Estimating



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- | **Overall cost estimate for the project is derived from the global properties of the software project**
- | **Cost is then split up among the various components**
- | **Can be done in conjunction with any of the before-mentioned methods**
- | **Strengths**
 - m **System level focus**
 - m **Efficient, easier to implement, requires minimal detail**
- | **Weaknesses**
 - m **Can be less accurate due to lack of detail**
 - m **Tends to overlook lower level components and possible technical problems**

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- | Detailed explanation of strengths
 - | The estimate is based on previous experience on entire completed projects, it will not miss the costs of system level functions such as integration, users' manuals, configurations management, etc.
- | Detailed explanation of weaknesses
 - | This method often does not identify difficult low level technical problems that are likely to escalate costs.
 - | It sometimes misses components of the software to be developed.
 - | It provides no detailed basis for cost justification and iteration.
 - | It is less stable than a multicomponent estimate, in which estimation errors in the components have a chance to balance out.



Bottom-Up Estimating



- | **The cost of each software component is estimated by an individual and these costs are then summed to arrive at an estimated cost for the overall product**
- | **Strengths**
 - m **More detailed basis**
 - m **More stable**
 - m **Fosters individual commitment**
- | **Weaknesses**
 - m **May overlook system level costs**
 - m **Requires more effort**

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- | Detailed explanation of strengths
 - | Estimate will be based on a more detailed understanding of the job to be done
 - | Each estimate will be backed up by the personal commitment of the individual responsible for the job
 - | Estimates are more stable because the estimation errors in the various components have a chance to balance out.
- | Detailed explanation of weaknesses
 - | Tends to overlook many system level costs (integration, configuration management, quality assurance, project management) associated with software development.
 - | As a result, these results are often underestimated.



Parametric Models



- | **Mathematical expressions**
 - m Derived from the statistical correlation of historical system costs with performance and/or physical attributes of the system
 - m Can produce high quality, consistent estimates if derived from a sound representative database
- | **Focus on major cost drivers (not minute details)**
 - m Predominant effect on system costs
 - m Independence (cost drivers are uncorrelated)
- | **Strengths**
 - m Objective
 - m Repeatable
 - m Efficient
 - m Facilitates sensitivity analysis

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| Weaknesses

- | Calibrated to previous projects
 - m Questionable as to what extent these previous projects are representative of future projects using new techniques and technology
 - m Unable to deal with exceptional conditions
 - m exceptional personnel
 - m exceptional project teamwork
 - m exceptional matches (or mismatches) between the project personnel and the job to be done
- | However, any estimating approach can be impacted by these drawbacks, and care should be exercised when accounting for such concerns.



Parametric Models (Cont.)



- | **Linear models**

$$Effort = a_0 + \sum_{i=1}^n a_i x_i$$
- | **Multiplicative models**

$$Effort = a_0 \prod_{i=1}^n a_i^{x_i}$$
- | **Analytic models**

$$Effort = f(x_1, \dots, x_n)$$
- | **Tabular models**
 - m Contains tables which relate the values of cost driver variables to multipliers used to adjust the effort estimate
- | **Composite models**
 - m Incorporate a combination of linear, multiplicative, analytic, and tabular functions to estimate software effort as a function of cost driver variables

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- | These models have the forms shown above where $x_1, \dots, x_n$ are the cost driver variables, and $a_0, \dots, a_n$ are a set of coefficients chosen to provide the best fit to a set observed data points. Development cost is obtained by multiplying the effort quantity by a constant cost for labor.
- | Model coefficients are determined by using curve fitting techniques such least squares best fit (LSBF) method, multiple regression techniques, or curvilinear regression techniques.



Information Needed to Use a Parametric Model



Well documented parameters identifying: - m source of data used to derive the parametric model - m size of database - m time frame of database - m range of database - m how parameters were derived - m limitations spelled out - m how well the parametric model estimates its own database - m consistent and well defined WBS dictionary	Realistic estimates of most-likely range for independent variable values Top functional experts knowledgeable about the project being estimated - m to identify most-likely range for cost drivers - m to confirm applicability of parametric from technical perspective
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| These prescriptions provide an insight into how accurate the proposed parametric model is, and also provide some directions on its effective use and limitations. One should not use cost models without information on their underlying assumptions.

| For more information, see [DOD95].



Module Outline



- | Introduction to Cost Modeling-Based Embedded Systems Design
- | Software Cost Estimation Process
- | **Parametric Software Cost Models**
- | Parametric Hardware Cost Models
- | Applications of Cost Modeling to the RASSP Design Process
- | Summary and Major Issues

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- | We examine a number of commercial cost models from the software arena, and see how they are applicable to embedded system design.



Example Parametric Software Cost Estimators



- | **A number of automated software estimation tools are available which allow for quick effort and schedule estimates based on size estimates and cost driver attributes**
- | **15 to 20 cost driver attributes that reflect the development environment depending on the model used**
- | **Examples**
 - m COCOMO
 - m COCOMO 2.0
 - m REVIC (REVised Intermediate COCOMO)
 - m PRICE S Model
 - m SEER-SEM
 - m SASET

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- | SEER-SEM: System Evaluation and Estimation of Resources - Software Estimation Model (SEER-SEM) provides software estimates with knowledge bases developed from many years of completed projects. The knowledge base allows estimates with only minimal high level inputs. User only needs to select the platform (I.e. ground, unmanned space, etc.), application (I.e. command and control, diagnostic), development methods (I.e. prototype, incremental), and development standards (I.e. 2167A/498).
- | SASET: The Software Architecture, Sizing and Estimating Tool (SASET) is a forward-chaining, rule-based expert system utilizing a hierarchically structured knowledge database. SASET uses a five-tiered approach for estimating including class of software, source lines of code, software complexity, maintenance staff loading, and risk assessment.
- | COCOMO, COCOMO 2.0, and REVIC are public domain software cost estimation tools. Therefore, the parametric software cost/schedule equations are made available for these tools, thereby facilitating their use in automated design tools.
- | PRICE S is a commercial tool. Its exact parametric equations are not made available. Therefore, for the purposes of this module, PRICE S can only be used for making manual design trade-offs.
- | Others include SLIM, SOFTCOST-R, SoftEst and SYSTEM-4



Constructive Cost Model (COCOMO)



I Hierarchy of software cost estimation models

- m Basic COCOMO**
 - q Estimates software development effort and cost solely as a function of the size of the software product in source instructions**
- m Intermediate COCOMO**
 - q Estimates software development effort as a function of the most significant software cost drivers as well as size**
- m Detailed COCOMO**
 - q Represents the effects of the cost drivers on each individual development cycle phase**
 - q Used during detailed phases of design to refine cost estimates**

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- The development period covered by COCOMO cost estimates begins at the beginning of the product design phase and ends at the end of the integration and test phase.
- The three models, Basic, Intermediate, and Detailed COCOMO, represent greater accuracy based on an increasing amount of input information provided to each class of models.
- In the early stages of the design, Basic COCOMO may be sufficient for an initial estimate, but as the project advances, advanced models may be required for increased accuracy of prediction.
- For more information, see slide 59.

[COCOMO]

COCOMO (Cont.)

| **Models three modes of software development**

m **Organic**

- q **Small-to-medium size product developed in a familiar, in-house environment**

m **Embedded**

- q **Product must operate within tight constraints**
- q **Product is embedded in a strongly coupled complex of hardware, software, regulations, and operational procedures**

m **Semidetached**

- q **Intermediate stage between organic and embedded mode**
- q **Team members have varied experience with related systems**

- | The embedded mode of development is most applicable for the software costing for embedded digital systems.
- | The focus will be on this mode of development for making embedded systems design trade-offs.
- | The reader may wish to determine the applicability of these models to the class of products being developed.

 Summary of COCOMO Hierarchy of Models 			
Estimate	COCOMO Level		
	Basic	Intermediate	Detailed
Development Effort, MM_{DEV}	$f(\text{mode}, KDSI)$	$f(\text{mode}, KDSI, 15 \text{ cost drivers})$	$f(\text{mode}, KDSI, 15 \text{ cost drivers})$
Development schedule	$f(\text{mode}, MM_{DEV})$	Same as for Basic level	Same as for Basic level
Maintenance effort	$f(MM_{DEV}, ACT)$	$f(MM_{DEV}, ACT, 15 \text{ cost drivers})$	Same as for Intermediate level
Product hierarchy	Entire System	System/components	System/subsystem/module
Phase distribution of effort	$f(\text{mode}, KDSI)$	Same as for Basic level	$f(\text{mode}, KDSI, 15 \text{ cost drivers})$
Phase distribution of schedule	$f(\text{mode}, KDSI)$	Same as for Basic level	$f(\text{Basic schedule distr., Detailed schedule distr.})$
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I Basic COCOMO:

- | Good for quick, early, rough order of magnitude estimates of software costs.
- | Accuracy is very limited, because the model lacks factors to account for differences in hardware constraints, personnel quality and experience, use of modern tool and techniques, and other project attributes known to have significant influence on software costs.
- | Basic COCOMO estimates its own database within a factor of 1.3 of the actuals only 29% of the time and a factor of 2 of the actuals only 60% of the time.
- | Accuracy is not good enough for making design trade-offs.

I Detailed COCOMO:

- | Used during detailed design to refine cost estimates
- | Employs a three level hierarchical decomposition of the software product (module level, subsystem level, system level).
- | Uses phase sensitive effort multipliers which accurately reflect the effect of the cost drivers on the phase distribution of effort
- | Cost models are used to make design trade-offs during the early stages of design. The level of information required by this model will not be available during conceptual design.

I Intermediate COCOMO:

- | Incorporates an additional 15 cost drivers to account for much of the software project cost variation.
- | Estimates are within 20% of the project actuals 68% of the time with respect to the COCOMO database.
- | There is enough information available about the development environment and software size (KDSI) to effectively use this model during the early stages of product design.
- | This model will be explored in more detail.



Intermediate COCOMO Model



Embedded Mode Intermediate COCOMO Model

- Costs including product design through the completion of the integration and test phase

Software Development Effort

$$S_E = A \cdot KSLOC^B \cdot \prod_{i=1}^{15} F_i$$

where

- | **KSLOC** - software size in thousands of source lines of code
- | **A** - constant used to capture the multiplicative effects on effort with projects of increasing size (**DEFAULT = 2.8**)
- | **B** - scale factor which accounts for the relative economies or diseconomies of scale encountered for software projects of different sizes (**DEFAULT = 1.2** -> diseconomy)
- | **F_i's** - cost drivers which model the effect of personnel, computer, product, and project attributes on software cost

Software Development Time

$$S_T = C \cdot S_{E_{nom}}^D \cdot \frac{PSCED\%}{100}$$

where

- | **C** - constant used to capture multiplicative effects on time with projects of increasing effort (**DEFAULT = 2.5**)
- | **D** - scale factor which accounts for the relative economies or diseconomies of scale encountered for projects of different required efforts (**DEFAULT = 0.32**)
- | **PSCED** - the percent compression/expansion to the **nominal deployment schedule**

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- | The embedded model intermediate COCOMO includes integration and test costs, and is more involved than the basic model.
- | The general form of equations is still similar across the COCOMO models.



Software Cost Attributes (F_i) in Intermediate COCOMO



Computer Attributes

- m TIME - Execution Time Constraint
- m STOR - Main Storage Constraint
- m VIRT - Virtual Machine Volatility
- m TURN - Computer Turnaround Time

Product Attributes

- m RELY - Required Software Reliability
- m DATA - Database Size
- m CPLX - Product Complexity

Project Attributes

- m MODP - Modern Programming Practices
- m TOOL - Use of Software Tools
- m SCED - Required Development Schedule

Personnel Attributes

- m ACAP - Analyst Capability
- m AEXP - Applications Experience
- m PCAP - Programmer Capability
- m VEXP - Virtual Machine Experience
- m LEXP - Programming Language Experience

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- I These attributes allow customization of the cost model to company-specific, product-specific, organization skill-specific, and project specific attributes, usually through a multiplicative factor that varies around the value 1.0.



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Intermediate COCOMO (Cont.)



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(EQUATION: $MM = MM(Nom) \times P$ where $P = \text{Product of 15 Attribute Numerical Ratings}$)

FACTOR SELECTION

- Selected Candidate Factors (Wolverton, Doty, etc.)
- Surveyed Experts to Determine Which Factors Had:
 - General Significance (Not Restricted To Special Cases)
 - Independence (Not Correlated With Size, Other Factors)

FACTORS INCLUDED	(and Ranges)	RATINGS				
Product Attributes (Higher Ratings Increase Effort)	<u>VL</u>	<u>LO</u>	<u>NM</u>	<u>HI</u>	<u>VH</u>	
- Required Reliability (RELY)	(1.87)	.75	.88	1.00	1.15	1.40
- Data Base Size, DB/KDSI (DATA)	(1.23)		.94	1.00	1.08	1.16
- Product Complexity (CPLX)	(2.36)	.70	.85	1.00	1.15	1.30
Computer Attributes (Higher Ratings Increase Effort)						
- Memory Constraints (STOR)	(1.56)			1.00	1.11	1.30
- Timing Constraints (TIME)	(1.66)			1.00	1.06	1.21
- Virtual Machine Volatility (VIRT)	(1.49)		.87	1.00	1.15	1.30
- Turnaround Time (TURN)	(1.47)		.87	1.00	1.07	1.15

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[FERENS] 62

- | **TIME**- the cost driver rating is a function of the degree of execution time constraint imposed upon a software subsystem. The rating is expressed in terms of the percentage of available execution time expected to be used by the subsystem and any other subsystems consuming the execution time resource.
- | **STOR** - the rating is a function of the degree of main storage constraint imposed on a software subsystem. Main storage refers to main memory or DRAM storage. The rating is expressed in terms of the percentage of main storage expected to be used by the subsystem and any other subsystems consuming the main storage resources.
- | **VIRT** - the rating is a function of the level of volatility of the virtual machine underlying the subsystem to be developed. For a given software subsystem, the underlying virtual machine is the complex of hardware and software (OS, DBMS, etc.) that the subsystem calls upon to accomplish its tasks.
- | **TURN** - rating is a function of the turnaround time for computers in which software is being developed on after a failure.
- | **RELY** - refers to the level of software reliability required. For example, RELY will receive a low rating if the effect of a software failure is simply the inconvenience incumbent upon the developers to fix the fault. However, for a very high rating, the effect of a software failure can be the loss of human life.
- | **DATA** - refers to the amount of data to be assembled and stored in nonmain storage (that is, tapes, disks, etc.) by the time of software acceptance. The ratings are defined in terms of the ratio between the data base size in bytes and the software size.
- | **CPLX** - increasingly complex operations correspond to the module complexity ratings of very low, low, nominal, high, very high, and extra high. The ratings are a function of the types of operations performed by the module: control, computation, device-dependent, or data management operations.

[Boehm81]

[COCOMO]

		Intermediate COCOMO (Cont.)					
FACTORS INCLUDED		(and Ranges)	RATINGS				
			<u>VL</u>	<u>LO</u>	<u>NM</u>	<u>HI</u>	<u>VH</u>
Personnel Attributes (Higher Ratings Decrease Effort)							
- Analyst Capability (ACAP)	(2.06)	1.46	1.19	1.00	.86	.71	
- Programmer Capability (PCAP)	(2.03)	1.42	1.17	1.00	.86	.70	
- Applications Experience (AEXP)	(1.57)	1.29	1.13	1.00	.91	.82	
- Virtual Machine Experience (VEXP)	(1.34)	1.21	1.10	1.00	.90		
- Language Experience (LEXP)	(1.20)	1.14	1.07	1.00	.95		
Project Attributes (Higher Ratings Decrease Effort Except SCED, Where All But "NOM" Increase Effort)							
- Modern Development Practices (MODP)	(1.92)	1.24	1.10	1.00	.91	.82	
- Use of Modern Tools (TOOL)	(1.65)	1.24	1.10	1.00	.91	.83	
- Schedule Effects (SCED)	(1.23)	1.23	1.08	1.00	1.04	1.10	
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┆ **MODP**- the rating is a function of the degree to which modern programming practices (e.g. top-down requirements analysis and design, reuse, object-oriented methodologies, etc.) are used in developing software.

┆ **TOOL** - the rating is a function of the degree to which software tools are used in developing the software subsystem. Tool usage can range from basic microprocessor tools (assemblers, linkers, etc.) to advanced maxicomputer tools (instruction set simulators, cross compilers, integrated development environments, etc.)

┆ **SCED** - the rating is a function of the level of schedule constraint imposed on the project team developing a software subsystem. The ratings are defined in terms of the percentage of schedule stretchout or acceleration with respect to a nominal schedule for a project requiring a given amount of effort. A schedule acceleration below 75% (very low rating) of nominal is considered impossible by COCOMO.

┆ **ACAP** - the rating is a function of the level of capability of the software analysts. For a very low rating, a software analyst would problem rate in 15th percentile. In turn, an analyst with a very high rating would rate in the 90 percentile with respect to other software analysts.

┆ **AEXP** - the rating is a function of the level of experience the project team has with the applicaton. Less than 4 months would receive a very low rating. Greater than 12 yrs would receive a very high rating.

┆ **PCAP** - the rating is a function of the level of capability of the programming team.

┆ **VEXP** - the rating is a function of the level of experience the project team has with the virtual machine. A very low rating corresponds to no experience. A very high rating corresponds to greater than 2 years experience.

┆ **LEXP** - the rating is a function of the level of experience the programming team has the programming language.

[Boehm81]

[COCOMO]

Calibrating Nominal Effort Equations (Cont.)

Calibrating the Software Development Mode

- m Use a similar least squares technique to calibrate the coefficient term c and the scale factor b in the effort equation

$$MM = c(KSLOC)^b \prod_{i=1}^{15} F_i$$

- m Given completed projects $p_1, \dots, p_n$, solve for c and b which satisfy the following equations

$$\log \bar{c} = \frac{a_2 d_0 - a_1 d_1}{a_0 a_2 - a_1^2}, \quad b = \frac{a_0 d_1 - a_1 d_0}{a_0 a_2 - a_1^2}$$

q where

$$a_0 = n, \quad a_1 = \sum_{i=1}^n \log(KSLOC)_i, \quad a_2 = \sum_{i=1}^n [\log(KSLOC)_i]^2$$

$$d_0 = \sum_{i=1}^n \log\left(MM / \prod_j F_j\right)_i, \quad d_1 = \sum_{i=1}^n \log\left(MM / \prod_j F_j\right)_i \log(KSLOC)_i$$

- | The constant-term calibration process is much more stable than the development mode recalibration process.
- | Cautions which should be observed when recalibrating COCOMO, particularly recalibrating the development mode
 - | Make sure the project data are as consistent as possible.
 - | If the project data represent different modes, perform a separate recalibration for each mode.
 - | If the sample size of project data is less than 10 projects, pick a standard COCOMO development mode and recalibrate its constant term, rather than recalibrating an overall development mode.
 - | Make sure that the projects used for calibration are representative of the projects whose costs will be estimated with the recalibrated model.



Intermediate COCOMO - Maintenance Estimate



┆ **Basic model assumption**

- m **Software maintenance costs are determined by substantially the same cost driver attributes that determine software development costs.**
- m **Annual maintenance effort equation**

$$(MM)_{AM} = (1.0)(ACT)(MM)_{NOM} \prod_{i=1}^{15} F_i$$

where

- m **ACT is the annual change traffic**
- m **(MM)_{NOM} is the nominal software development effort which is only a function of the software size**
- m **F_i is the maintenance effort adjustment factor for cost driver attribute i**

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- ┆ Software maintenance includes:
 - ┆ Redesign and redevelopment of smaller portions (less than 50% new code) of an existing software product
 - ┆ Design and development of smaller interfacing software packages which require some redesign (of less than 20%) of the existing software product
 - ┆ Modification of the software product's code, documentation, or data base structure
- ┆ Software maintenance excludes:
 - ┆ Major redesign and redevelopment (more than 50% new code) of a new software product performing substantially the same functions
 - ┆ Design and development of a sizable (more than 20% of the source instructions comprising the existing product) interfacing software package which requires relatively little redesign of the existing product
 - ┆ Data processing system operations, data entry, and modification of values in the data base



SOFTWARE SUPPORT COSTS - COCOMO



COCOMO-M

- Equation: $MM_A = MM_{NOM} \times ACT \times P_M$
 - MM_A is Annual Person-Months
 - MM_{NOM} is Nominal Person-Months (From COCOMO)
 - ACT is Annual Change Traffic (% Code Changed per Year)
 - P_M is Product of Maintenance Multipliers (SCED Not Used; RELY and MODP Values Change)

REVIC

- Like COCOMO-M, Except (ONLY) 15-Year Support Costs
 - Highest In Years 1 and 2, Then Steady-State

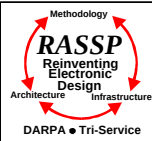
COCOMO II

- Equation is $MM_M = A \times Size_M \times P_M$
 - MM_M is "Maintenance Man Months"
 - $Size_M = (Base\ Code\ Size) \times MCF \times MAF$
 - $MCF = (Size\ Added + Size\ Modified) / Base\ Code\ Size$
 - $MAF = 1 + ((SU/100)(UNFM))$

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[COCOMO]



Calibrating a Cost Model to a Particular Organization



| Example: COCOMO calibration

- m Calibrating the COCOMO nominal effort equations to an organization's design experience
 - q Calibrating the constant term
 - q Calibrating the software development mode
- m Other calibration methods
 - q Consolidating or eliminating redundant cost driver attributes within the model
 - q Adding further cost driver attributes which may be significant at this organization, but not in general

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- | The same calibration technique can be used for calibration to a specific application.



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Calibrating Nominal Effort Equations



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┆ **Calibrating the constant term "c"**

 m **Ex. Embedded mode**

$$MM = c(KSLOC)^{1.2} \prod_{i=1}^{15} F_i$$

 m **To calibrate to an organization's completed projects**

$p_1, \dots, p_n$ with

 q **sizes: $KSLOC_1, KSLOC_2, \dots, KSLOC_n$**

 q **overall effort adjustment factors: $F_1, F_2, \dots, F_n$**

 q **actual development efforts: $MM_1, MM_2, \dots, MM_n$**

 m **Solve for the value of "c" which minimizes the sum of squares of residual errors**

$$S = \sum_{i=1}^n [c(KSLOC)^{1.2} F_i - MM_i]^2 \Rightarrow$$

$$\bar{c} = \frac{\sum_{i=1}^n MM_i (KSLOC)^{1.2} F_i}{\sum_{i=1}^n [(KSLOC)^{1.2} F_i]^2}$$

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Reasons for calibrating the nominal effort equations

- ┆ An organization may consistently judge the COCOMO cost driver attribute ratings by different standards than were used in calibrating COCOMO.
- ┆ An organization may employ consistently different definitions of "delivered", "source instructions," "development," or "man-months" than those used in COCOMO.
- ┆ An organization's usual development mode may be somewhere in between the standard COCOMO development modes, in which case a special nominal effort equation can be calibrated to the organization's experience.



REVIC



- | **REVIC (REVised Intermediate COCOMO) predicts software development life-cycle costs**
 - m Costs including requirements analysis through completion of software acceptance testing
 - m Maintenance life-cycle costs for fifteen years
- | **REVIC Software Development Modes**

Mode	Description
Organic	Stand alone program with few interfaces, a stable development environment, no new algorithms, and few constraints- Usually very small programs
Embedded	Programs with considerable interfaces, new algorithms, or extremely tight constraints. Usually very large or complicated programs.
Semidetached	A combination of organic and embedded features.
ADA	Programs developed using an object-oriented analysis methodology or use of the Ada language, with emphasis on the separately compilable specs and body parts of the code

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- | As with intermediate COCOMO, the embedded mode of software development is most applicable to embedded systems design.
- | REVIC is suitable for military systems because it also includes lifetime costs of the product.

	REVIC Development Phase Descriptions		
Phase	Start Milestone	End Milestone	
SW Requirements Engineering Preliminary Design	General Contract Award	Completion of Software Specification Review (SRR)	
	Completion of SSR	Completion of Preliminary Design Review (PDR) or equivalent	
Critical Design	Completion of PDR or equivalent	Completion of Critical Design Review (CDR) or equivalent	
Code & Unit Test	Completion of CDR or equivalent	Completion of CSC Testing by the programmers (CUT)	
Integration & Test	Completion of CUT	Completion of Formal Qualification Test (FQT) at the CSCI level	
Development Test & Evaluation	Completion of FQT at the CSCI level	Completion of SW-to-SW and SW-to-HW integration and Functional & Physical Configuration Audits	

- I These phases are consistent with the development phases of software in current practice as described in the RASSP Methodology module (Module 29).



REVIC Development Phase Descriptions (Cont.)



- | REVIC development equations predict effort and schedule from Preliminary Design through Integration & Test
- | REVIC predicts the effort and schedule in the *Software Requirements Engineering* and *Development Test & Evaluation* phases by taking a percentage of the development phases
 - m Software Requirements Engineering (default values)
 - q 12% of development effort
 - q 30% of development schedule
 - m Development Test & Evaluation (default values)
 - q 22% of development effort
 - q 26% of development schedule

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- | Default values of REVIC rely on past historical information derived from several Air Force projects in the 1980s. An organization can refine these models by over-riding the default values.



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REVIC Software Development Cost/Schedule Models



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Embedded Mode REVIC (REVISED Intermediate COCOMO) Model

- Predicts software development costs
- Costs including requirements analysis through completion of software acceptance testing
- Maintenance life-cycle costs for fifteen years

Software Development Effort

$$S_E = A \cdot KSLOC^B \cdot \prod_{i=1}^{19} F_i$$

where

- | **KSLOC** - thousands of source lines of code
- | **A** - constant used to capture the multiplicative effects on effort with projects of increasing size (**DEFAULT = 4.44**)
- | **B** - scale factor which accounts for the relative economies or diseconomies of scale encountered for software projects of different sizes (**DEFAULT = 1.2** -> diseconomy)
- | **F_i's** - cost drivers which model the effect of personnel, computer, product, and project attributes on software cost

Software Development Time

$$S_T = C \cdot S_{E_{nom}}^D \cdot \frac{PSCED\%}{100}$$

where

- | **C** - constant used to capture multiplicative effects on time with projects of increasing effort (**DEFAULT = 6.2**)
- | **D** - scale factor which accounts for the relative economies or diseconomies of scale encountered for projects of different required efforts (**DEFAULT = 0.32**)
- | **PSCED** - the percent compression/expansion to the **nominal deployment schedule**

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- | The REVIC Embedded Mode model is an extension of the underlying COCOMO (Intermediate model) with extensions to include lifecycle costs and also includes some addition parameters, such as reuse.

Additional Project Attributes for REVIC						
 						
Cost Drivers	Ratings					
	Very Low	Low	Nominal	High	Very High	Extra High
Project Attributes						
MODP	1.24	1.10	1.00	.91	.82	
TOOL	1.24	1.10	1.00	.91	.83	0.73 0.62 -XXH
REQV		0.91	1.00	1.19	1.38	1.62
REUSE			1.00	1.10	1.30	1.50
SECU			UNCL- 1.00	CLASS- 1.10		
PLAT	1.00	1.20	1.4	1.6	1.8	2.0 XXH 2.5
SCED	1.23	1.08	1.00	1.04	1.10	

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Cost Attributes not included in COCOMO:

- ▮ REQV - the rating refers to the level of requirements volatility in a project.
- ▮ REUSE - the rating refers to level of required reusability of the software being developed. (Developing reusable software)
- ▮ SECU - the rating refers to whether the software is being developed for a classified security application or not.
- ▮ PLAT - the rating refers to the level of specification in the application platform. A very low rating refers to ground systems, where a extra high rating refers to manned space systems.
- ▮ For more information, see [AF95].



REVIC Maintenance Phase Description



- | **REVIC estimates the maintenance of a software product over a fifteen year period**
- | **REVIC assumes the presence of a transition period after the delivery of the software**
 - m **Residual errors are found before reaching a steady state condition providing a declining, positive delta to the ACT during the first three years**
 - m **Maintenance Equation**

$$MM_{am} = (MM_{nom})\Pi(MF_i)ACT$$

where

- m **MM_{nom}** is the nominal development effort
- m **ACT** is the annual change traffic (default value = 15%)
- m **MF_i** is the set of maintenance adjustment factors

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- | The annual change traffic describes the changes in the product each year attributed to maintenance.



Differences Between REVIC and the Original COCOMO



- | **REVIC utilizes an updated set of coefficients used in the basic effort and schedule equations**
 - m Calibrated using recently completed DOD projects
- | **REVIC provides a single weighted average distribution for effort and schedule over the development phases**
 - m COCOMO provides a table for distributing the effort and schedule over the development phases
- | **REVIC allows the user to vary the percentages in the system requirements engineering and DT&E phases**
- | **REVIC automatically calculates the standard deviation for each Computer Software Component (CSC) for risk assessment**

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- | DT & E refers to development test & evaluation.
- | REVIC's coefficients have been calibrated using recently completed DOD projects.
 - | Least squares minimization techniques were used to determine the coefficients as in COCOMO.
- | REVIC provides estimates within +/- 5% of the projects in its database.



COCOMO 2.0 Model



- | **The original COCOMO and its successor, ADA COCOMO were well-matched to their target software projects**
 - m Largely custom, build-to-specification software
- | **COCOMO has been experiencing increasing difficulty in estimating costs and schedules for software developed using new approaches, e.g.**
- | **Object-oriented software**
 - m Spiral or evolutionary development approaches
 - m COTS and reuse-driven approaches
- | **COCOMO 2.0 is was developed to address these limitations**

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- | The limitations of COCOMO are described in this slide, primarily due to adoptions of newer development methodology, such as object oriented design or autocoding and code generation. For more information, see [BOEHM95].



COCOMO 2.0 Three-Stage Model Series



- Application Composition Model**

 - m Supports prototyping efforts to resolve potential high-risk issues such as user interfaces, software/system interaction, performance, or technology maturity
 - m Uses the number of *object points* as the sizing input
- Early Design Model**

 - m Supports exploration of alternative software system architectures and concepts of operation
 - m Not enough information is generally available for fine-grain cost estimation during the early stages of the software project
 - m Uses the number of *function points* as the sizing input
 - m Utilizes 7 cost driver attributes
- Post-Architecture Model**

 - m Same granularity as previous COCOMO and ADA COCOMO
 - m Utilizes 17 effort multipliers

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- | The earliest phases or spiral cycles uses Application Composition capabilities for prototyping.
- | The next phases or spiral cycles will generally involve exploration of software architectural alternatives or incremental development strategies which is support by the Early Design model.
- | Once a software lifecycle architecture has been developed, more accurate information will be available on cost driver inputs, thereby enabling more accurate estimates. The Post-Architecture model is used to support this stage.
- | Object points are a count of the screens, reports and third-generation-language modules developed in the application, each weighted by a three-level (simple, medium, difficult) complexity factor.
- | Early Design Model Cost Drivers:
 - | Personnel Capability (PERS)
 - | Product Reliability and Complexity (RCPX)
 - | Required Reuse (RUSE)
 - | Platform Difficulty (PDIF) - a combined effort multiplier for the hardware architectural attributes. (execution time and main storage constraints and platform volatility)
 - | Personnel Experience (PREX)
 - | Facilities (FCIL) - combination of software tool usage and multisite development cost driver attributes
 - | Schedule constraint (SCED)
- | The Post-Architecture model is most useful making design trade-offs because it allows for differentiation of execution time constraints and memory constraints, unlike the early design model, thereby allowing the designer to trade-off the number of processors and amount of memory.



COCOMO 2.0

Development Effort Estimates



| **Nominal Person Months**

$$PM_{nominal} = 3.0 \times (Size)^B$$

| **COCOMO 2.0 Scaling Approach**

$$B = 1.01 + 0.01(\sum W_i)$$

m **Scale factors W_i represent the rating for the following scale drivers:**

- q **Precedentedness**
- q **Development Flexibility**
- q **Architecture/Risk Resolution**
- q **Team Cohesion**
- q **Process Maturity**

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| The software size is expressed in thousands of source lines of code.

Breakage Percentage

- | **COCOMO 2.0 uses a breakage percentage to adjust the effective size of the product based on the requirements volatility in a project.**
- | **Breakage Percentage**
 - m The percentage of code thrown away due to requirements volatility
- | **COCOMO 2.0 adjustment (not used in Application Composition)**

$$PM_{nominal} = 3.0 \left[\left(\frac{1 + BRAK}{100} \right) Size \right]^B$$

m where

q **BRAK** is the breakage percentage

- | COCOMO 2.0 assumes that project requirements can change over the lifetime of the product, and this is factored into the lifetime costs.



COCOMO 2.0 Re-engineering and Conversion Estimation



- | Additional refinement is needed to estimate the costs of software re-engineering or conversion
- | COCOMO 2.0 re-engineering and conversion approach

$$PM = 3.0 \times (Size)^B + \left[\frac{ASLOC \left(\frac{AT}{100} \right)}{ATPROD} \right]$$

m where

- q **AT** is the percentage of the code that is re-engineered by automatic translation.
- q **ATPROD** is the productivity for automatic translation in source statements/person month.

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- | The major difference between reuse and re-engineering and conversion is the efficiency of automated tools for software restructuring.
- | Automatic translation can lead to very high values for the percentage of code modified, but very little corresponding effort, thereby requiring a separate approach to deal with this type of reuse.



Post-Architecture Model Effort and Schedule Estimates



┆ **Adjusting Development Effort (Person-Months)**

$$PM_{adjusted} = PM_{no\ min\ al} \times \left(\prod_{i=1}^{17} EM_i \right)$$

┆ **Development Schedule Estimates**

$$TDEV = \left[3.0 \times \left(PM^{(0.33+0.2 \times (B-1.01))} \right) \right] \times \frac{SCED\%}{100}$$

┆ **Output Ranges**

m **Optimistic Estimate: 0.80($PM_{adjusted}$)**

m **Pessimistic Estimate: 1.25($PM_{adjusted}$)**

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┆ Post-Architecture Model Effort Multipliers

- ┆ Required Software Reliability (RELY)
- ┆ Data Base Size (DATA)
- ┆ Product Complexity (CPLX)
- ┆ Required Reusability (RUSE)
- ┆ Documentation match to life cycle needs (DOCU)
- ┆ Execution Time Constraint (TIME)
- ┆ Main Storage Constraint (STOR)
- ┆ Platform Volatility (PVOL)
- ┆ Analyst Capability (ACAP)
- ┆ Programmer Capability (PCAP)
- ┆ Applications Experience (AEXP)
- ┆ Platform Experience (PEXP)
- ┆ Language and Tool Experience (LTEX)
- ┆ Personnel Continuity (PCON)
- ┆ Use of Software Tools (TOOL)
- ┆ Multisite Development (SITE)
- ┆ Required Development Schedule (SCED)

 Comparison of COCOMO and COCOMO 2.0 				
	COCOMO	COCOMO 2.0 A. C. Model	COCOMO 2.0 E. D. Model	COCOMO 2.0 P. A. Model
Size	Delivered Source Instructions (DSI) or Source lines of code (SLOC)	Object Points	Function Points (FP) and Language	FP and Language or SLOC
Reuse	Equivalent SLOC = Linear f(DM, CM, IM)	Implicit in model	% unmodified reuse: SR % modified reuse: nonlinear f(AA, SU, DM, CM, IM)	Equivalent SLOC = nonlinear f(AA, SU, DM, CM, IM)
Breakage	Requirements Volatility rating: (RVOL)	Implicit in model	Breakage %: BRAK	BRAK
Maintenance	Annual Change Traffic (ACT) = %added + %modified	Object Point Reuse Model	Reuse Model	Reuse Model
Scale (b) in $MM_{NOM} = a(Size)^b$	Organic: 1.05 Semidetached: 1.12 Embedded: 1.20	1.0	1.01 - 1.26 depending on the degree of: - precedentedness - conformity - early architecture, risk resolution - team cohesion - process maturity	1.01 - 1.26 depending on the degree of: - precedentedness - conformity - early architecture, risk resolution - team cohesion - process maturity
Product Cost Drivers	RELY, DATA, CPLX	None	RCPX, RUSE	RELY, DATA, DOCU, CPLX, RUSE
Platform Cost Drivers	TIME, STOR, VIRT, TURN	None	Platform difficulty: PDIF	TIME, STOR, PVOL (=VIRT)
Personnel Cost Drivers	ACAP, AEXP, PCAP, VEXP, LEXP	None	Personnel capability and experience: PERS, PREX	ACAP, AEXP, PCAP, PEXP, LTEX, PCON
Project Cost Drivers	MODP, TOOL, SCED	None	SCED, FCIL	TOOL, SCED, SITE

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- 1 All the models described so far are compared in this table. For more detailed information, see [BOEHM95] and [COCOMO].

 Summary of SW Cost Estimators 			
	Intermediate COCOMO	COCOMO 2.0 P.A. Model	REVIC
Size	Delivered Source Instructions (DSI) or Source lines of code (SLOC)	FP and Language or SLOC	Delivered Source Instructions (DSI) or Source lines of code (SLOC)
Reuse	Equivalent SLOC = Linear $f(\text{DM, CM, IM})$	Equivalent SLOC = nonlinear $f(\text{AA, SU, DM, CM, IM})$	Equivalent SLOC = Linear $f(\text{DM, CM, IM})$
SW Dev. Effort (MM_{DEV})	$f(\text{mode, SLOC, 15 cost drivers})$	$f(\text{SLOC, 18 cost drivers})$	$f(\text{mode, SLOC, 19 cost drivers})$
Maintenance	$f(\text{MM}_{\text{DEV}}, \text{ACT})$	$f(\text{MM}_{\text{DEV}}, \text{ACT})$	$f(\text{MM}_{\text{DEV}}, \text{ACT})$
SW Dev. Schedule	$f(\text{mode, MM}_{\text{DEV}}, \text{SCED}\%)$	$f(\text{MM}_{\text{DEV}}, \text{SCED}\%)$	$f(\text{mode, MM}_{\text{DEV}}, \text{SCED}\%)$
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I Continuation of the comparison of cost models.



Module Outline



- | Introduction to Cost Modeling-Based Embedded Systems Design
- | Software Cost Estimation Process
- | Parametric Software Cost Models
- | **Parametric Hardware Cost Models**
- | Applications of Cost Modeling to the RASSP Design Process
- | Summary and Major Issues

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- | Work has been done in the area of hardware cost estimation as well, but is not as comprehensive or general as the research work done in the area of software cost modeling. We survey some well-known hardware cost models.



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ASIC Cost/Schedule Estimation



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- | **Paraskevopoulos and Fey showed that VLSI design schedules are a function of only one parameter:**
 - m ASIC development effort (person-months)
- | **Development effort is a function of organizational productivity and design complexity (gates per IC)**
- | **VLSI schedule models are similar to the software schedule models developed by Boehm**
- | **VLSI design classifications**
 - m full custom
 - m cell based
 - m standard cells
 - m gate arrays

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- | The design effort and schedule include the following phases
 - | logic design and verification, including chip architecture;
 - | circuit design and verification, including timing and simulation;
 - | layout and verification; and
 - | test design, testing, and debugging
- | VLSI classifications:
 - | Full custom: the device is designed at the transistor level. Transistor performance and area are optimized
 - | Cell based: the circuit is partially built of predefined blocks of cells. Most are newly created for the current design.
 - | Standard cells: ideally, the device is designed from predefined libraries of cells. Only cell interconnect is optimized.
 - | Gate arrays: the device is designed from gates and predefined cells. Only interconnect is optimized.
- | For more information, see [PF87].



Full Custom ASIC Cost Equation



┆ **Basic ASIC effort equation**

$$M = (1 + D)^{YR} \left[A + B(Size)^H \right]$$

┆ **where**

- ┆ ***M* is the ASIC development effort in person-months**
- ┆ ***D* is the average annual improvement factor**
- ┆ ***A* is the startup manpower**
- ┆ ***B* is a measure of productivity**
- ┆ ***YR* is (1984 - current year)**
- ┆ ***H* is the economy or diseconomy of scale**
- ┆ ***Size* is the equivalent number of transistors**

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- ┆ Both productivity and requirements changes over time
 - ┆ the productivity will increase due to new tools, experience, reuse, etc.
 - ┆ the productivity may decrease due to higher performance, higher reliability
- ┆ The model adjusts productivity from year to year with parameters described above.
- ┆ For more information, see [FEY85].



Full Custom Design Complexity Calculation



┆ **Computation of equivalent number of transistors**

Type of Transistor	# of Equivalent Transistors
Unique Random Logic (UNQ)	1*UNQ Transistors
Repeated Random Logic (RPT)	C*RPT Transistors
Programmed Logic Array (PLA)	E*PLA Transistors
RAM	F*(RAM Transistors)**0.5
ROM	G*(ROM Transistors)**0.5

┆ **Size equation**

$$Size = UNQ + C \bullet RPT + E \bullet PLA + F \bullet RAM^{0.5} + G \bullet ROM^{0.5}$$

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- ┆ An equivalent transistor is a measure of the time required to design a transistor.
- ┆ The measure is based on the fact that various types of transistors require different amounts of design time.



Full Custom Design Parameter Values



▮ **Design effort model parameter values**

Parameters	Low Value	Estimate	High Value
A, Constant	0	0	3
B, Productivity	6	12	20
C, Repeated Logic	0.05	0.13	0.25
D, Improvement	-0.05	0.02	0.10
E, PLA	0.1	0.37	0.7
F, RAM	0.1	0.65	1.3
G, ROM	0.05	0.08	0.15
H, Complexity	1.05	1.13	1.40

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- ▮ The parameter values above are estimates from data collected from both merchant market suppliers and in-house captives for N-MOS and C-MOS logic and memory design efforts between 1976 and 1983.
- ▮ The size of the designs ranged from 1000 to 300,000 transistors
- ▮ The relatively small number of parameters requires changes of parameter values where design requirements, designer experience, technology, or other circumstances differ from the sample average.
- ▮ Therefore, estimates of low and high values are also given.



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Gate Array ASIC Design Productivity



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BoozAllen • USC • ADX

┌ **Basic gate array productivity model**

$$P_B = 17.1 \bullet G^{0.61} \quad 0.5 \leq G \leq 25$$

m where

q **G** is the number of gates used in thousands

┌ **More detailed gate array productivity model**

$$P = 16.2 \left(0.61^I \right) \left(0.86^U \right) \left(0.64^R \right) \left(1.17^D \right) G^{0.6} \quad 0.5 \leq G \leq 25$$

m where

q **I** is the adjusted number of I/Os $[(I/O)^{0.5}/K]$ gates

q **U** is the maximum of percentage of gates used minus 90% and 0

q **R** is the complexity rating on a scale of 1 to 5

q **D** is the number of previous designs completed by the designer

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[FP89] 89

- ┌ Productivity is measured in gates per person-week in the models.
- ┌ These models were derived from data collected on 70 designs from five major corporations during the period 1983-1988.
- ┌ The basic model indicates that productivity increases with the number of gates.
 - ┌ *This is fundamentally different from full-custom designs, where productivity decreases with the number of gates*
 - ┌ This increase in productivity with size is due to improvements in CAD tools and libraries to support gate array designs
- ┌ The basic model poorly correlates with actuals.
- ┌ The detailed more provides a much better fit to actual values. The correlation coefficient is 0.85.



Gate Array Design Cost



| Gate array development effort (person-weeks)

$$M = \frac{200 \cdot G}{P} \quad 0.5 \leq G \leq 25$$

m where

q M is the development effort in person-months

q P can be the detailed or basic productivity values

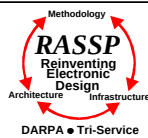
| Example:

m Using basic productivity model

$$M = 11.7 \cdot G^{0.39}$$

q Development effort is proportional to an exponent of the number of gates

| This model was derived empirically from observation.



ASIC Schedule Equations



Basic ASIC schedule equation

$$T = h \cdot M^g$$

where

- q M is the development effort in person-months
- q h is the model coefficient
- q g is the economy/diseconomy of scale

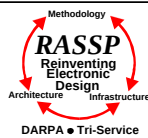
ASIC schedule models

Model Name	Functional Form	
VLSI	$T = M$	$M < 6.7$
	$T = 3.5 \cdot M^{0.34}$	$M \geq 6.7$
Full Custom	$T = 3.3 \cdot M^{0.35}$	$M \geq 6.7$

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- | These equations show that VLSI and software schedules have the same relationship to development effort.
- | The model coefficients were chosen by using the least square method to fit the model to the data obtained from 81 designs
- | The model assumes that VLSI designs with effort M less 6.7 person-months are produced by single person team
- | These parametric equations have median error of 13 percent from project actuals
 - | 75 percent of the errors were less than 29 percent
- | VLSI refers to gate arrays, standard cells, and full-custom devices combined.
- | If the VLSI system is partitioned into multiple independent subsystems, the schedule is a function of the largest subsystem's development effort.



FPGA vs. ASIC Design Costs



Typical cost values for a 10,000 gate ASIC (gate array) or FPGA design

Cost Attributes	ASIC	FPGA
Engineering Costs	\$79,000	\$25,000
NRE	\$25,000	0
Tools	\$10,000	\$10,000
Average Price	\$13	\$39

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- These numbers are based on “typical numbers” obtained from a world wide research conducted by Dataquest and Integrated Circuits Engineering.
- This model assumes a PQFP package type, volume of 18,000 units, and a product life of 36 months.
- Another ASIC cost factor is re-spin costs.
 - The potential for a re-spin expressed as a percentage of probability is typically 30%
 - The associated re-spin time is 17 weeks at \$3000 per person per week
- For more information, see [LIU95].

 FPGA vs. ASIC Development Time 																																									
Typical schedule for a 10,000 gate design <table> <tr> <th>Time Attributes</th><th>ASIC (weeks)</th><th>FPGA (weeks)</th></tr> <tr> <td>Engineering Labor</td><td>-</td><td>4</td></tr> <tr> <td>Training</td><td>2</td><td>1</td></tr> <tr> <td>Design Capture</td><td>3</td><td>2</td></tr> <tr> <td>Simulation</td><td>2</td><td>2</td></tr> <tr> <td>Test-vector Development</td><td>6</td><td>0</td></tr> <tr> <td>Place & Route</td><td>1</td><td>1</td></tr> <tr> <td>Back Annotation</td><td>1</td><td>0</td></tr> <tr> <td>Final Annotation</td><td>1</td><td>0</td></tr> <tr> <td>Prototype Cycle</td><td>2</td><td>0</td></tr> <tr> <td>Qualification</td><td>5</td><td>3</td></tr> <tr> <td>Production Lead time</td><td>9</td><td>2</td></tr> <tr> <td>Total Time</td><td>32</td><td>11</td></tr> </table>			Time Attributes	ASIC (weeks)	FPGA (weeks)	Engineering Labor	-	4	Training	2	1	Design Capture	3	2	Simulation	2	2	Test-vector Development	6	0	Place & Route	1	1	Back Annotation	1	0	Final Annotation	1	0	Prototype Cycle	2	0	Qualification	5	3	Production Lead time	9	2	Total Time	32	11
Time Attributes	ASIC (weeks)	FPGA (weeks)																																							
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- This schedule was derived from Ben Romdhane, Madisetti, and Hines, “Quick Turnaround ASIC Design in VHDL”, Kluwer Academic Publishers, 1996 and represents values from 1995.



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Commercial ASIC Hardware Models



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Bozinger • Uch • ADL

- | **PRICE-M**
 - m Produces estimates of development and production costs/schedule for ASICs and electronic modules (MCMs)
 - m Uses parametric cost estimates based:
 - q number of transistors/gates
 - q percentage of new circuit cells and design repeat
 - q specification level
 - q degree of computer-aided design
 - q product familiarity and engineering experience
- | **SEER-IC**
 - m Estimates integrated circuit & multi-chip module development and manufacturing costs
 - m Utilizes industry wide knowledge bases

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| These models are commercially available.



Module Outline



- | Introduction to Cost Modeling-Based Embedded Systems Design
- | Software Cost Estimation Process
- | Parametric Software Cost Models
- | Parametric Hardware Cost Models
- | **Applications of Cost Modeling in the RASSP Design Process**
- | Summary and Major Issues



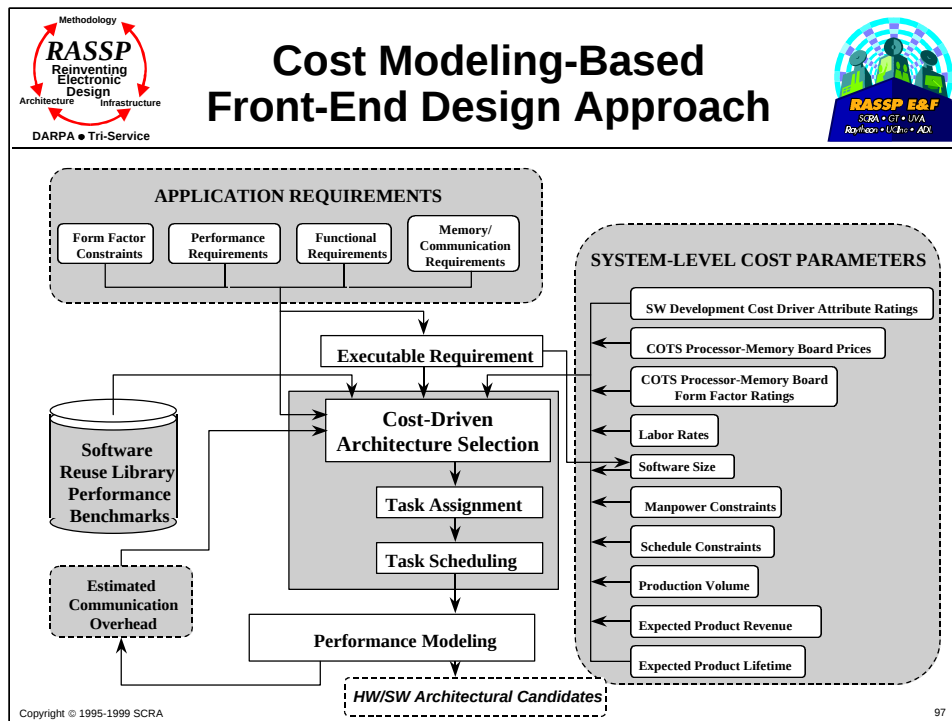
Classifications of Design and Test Methodologies



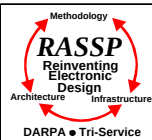
- | **Methodology I:**
 - m Standard COTS - Minimum Hardware Cost
- | **Methodology II:**
 - m COTS with Cost Modeling - Minimum System Cost
- | **Methodology III:**
 - m Full Custom - Custom Hardware plus Software
- | **Methodology IV:**
 - m COTS with Cost Modeling and Virtual Prototyping
- | **Methodology V:**
 - m COTS with Cost Modeling, Virtual Prototyping, and Use of Advanced Top-Down Programming Methodologies and Reuse

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- | Various system-level design and test methodologies can be classified into the following broad categories:
 - | Methodology I: The most common approach within the industry tries to minimize hardware costs, and software is designed after hardware is fabricated. The latter task is complicated by errors in hardware and tight constraints on the hardware platform.
 - | Methodology II: This approach is tries to minimize the sum of hardware and software costs.
 - | Methodology III: Another common practice within the industry, especially for designs with severe form factor or application -specific constraints, is to develop custom hardware and software. This approach is usually schedule and cost intensive.
 - | Methodology IV: Methodology II is improved upon using the new technology of virtual prototyping where hardware and software models are used to facilitate integration and test.
 - | Methodology V: This methodology Is most advanced and has been proposed as part of DARPA's RASSP program and is expected to result in the best cost objective.
 - | Methodologies I and III represent traditional COTS and custom design approaches.
- | Methodology II is identical to the traditonal COTS approach except that it uses cost models to make HW/SW architectural trade-offs during conceptual design.
- | Methodologies IV and V augment Methodology II with RASSP design practices.



- I The above cost modeling-based conceptual design approach is the core of Methodologies II, IV, and V (except Methodology II does not include the use of executable requirements).
- I The process starts by translating written requirements into executable requirements, which are used to validate the original customer requirements and to remove ambiguities, thereby reducing requirements volatility.
- I The level of detail required to develop the executable requirement facilitates the development of the software size estimate.
- I Inputs to the cost-driven architecture selection process step include system-level cost parameters, application requirements, and performance statistics (benchmarked execution times of common DSP algorithms (FFT, FIR, etc.) on the various available programmable processors).
- I The target architecture is composed of multiple programmable processors, DRAM, and I/O devices connected over a high performance interconnect network.
- I During the cost-driven architecture selection phase, parametric cost models are used to make HW/SW architectural trade-offs in order to minimize total system costs.
- I During this stage, the number and type of COTS programmable processor (i860, SHARC, etc.) boards, the memory capacity, and the packaging technology are chosen in a manner which minimizes total costs while satisfying form factor constraints.
- I Task Assignment and Task Scheduling involve the mapping of the functional algorithm tasks to the processor architecture and the scheduling of each task on its assigned processor, respectively.
- I The resulting architectural candidate is then simulated using performance models to verify that the architectural candidate meets performance requirements.
- I The cost-driven architecture selection model is updated with the communication overhead estimates generated from performance modeling.



Case Study: SAR Multiprocessor

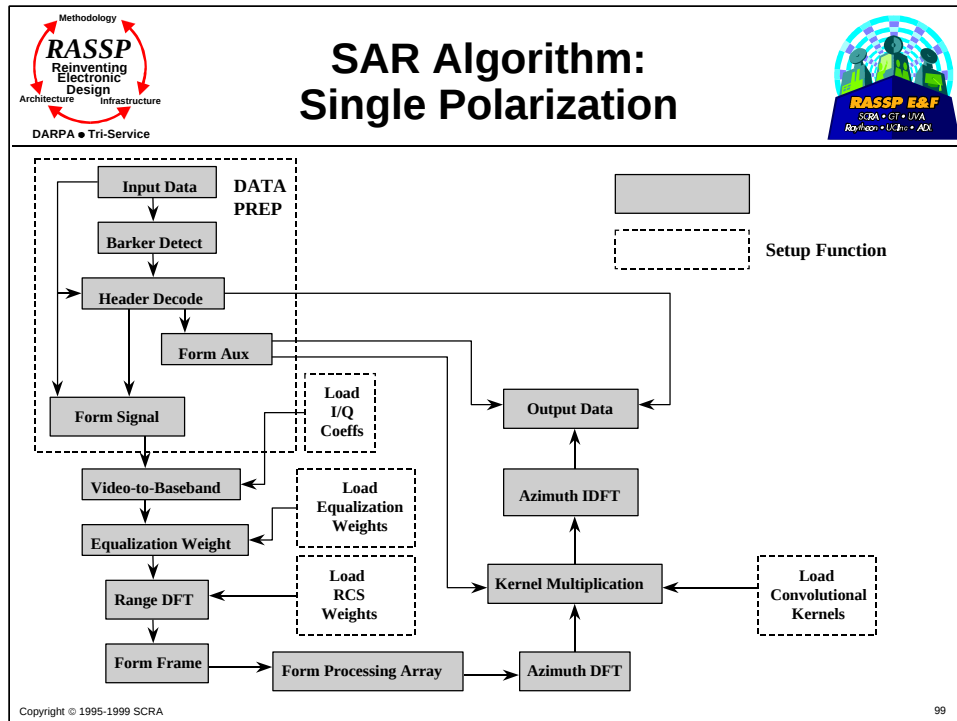


- | **SAR Processor is required to form images in real-time on board an F-22 or an unmanned air vehicle (UAV)**
- | **Pulse Repetition Frequency - 556 Hz**
 - m Delivers 512 pulses in 0.92 seconds
 - m Each pulse contains 4064 data samples for each of four polarizations
- | **Processor must be able to form a 512-pulse image for each of three polarizations in real-time**
 - m Maximum latency is 3 seconds
- | **Computational Requirement - 1.1 Gflop/sec**
- | **Memory Requirement - 77 MB**

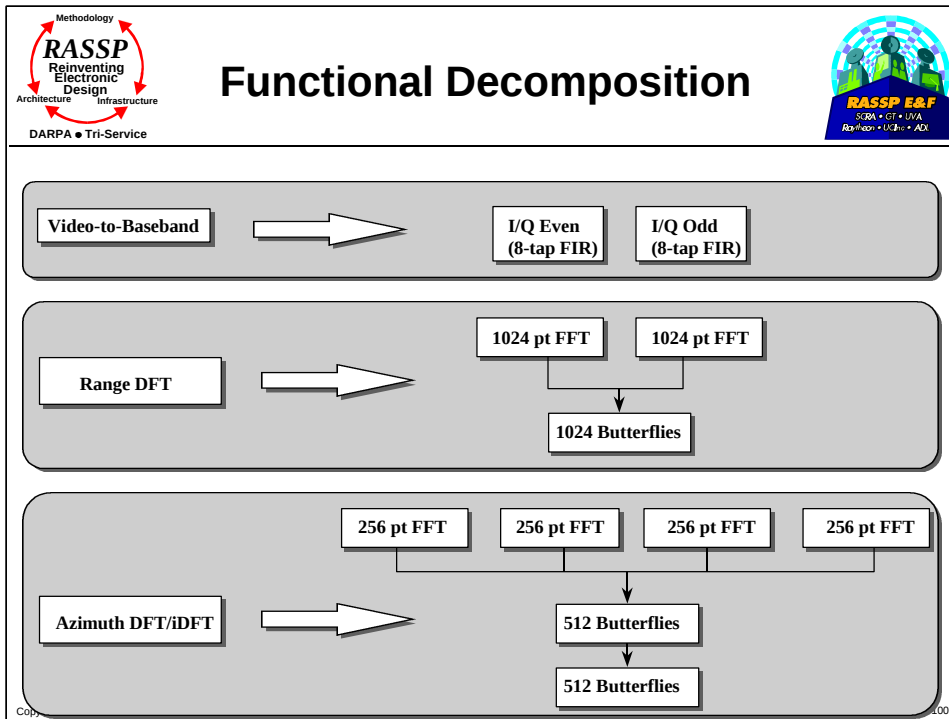
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- | Synthetic Aperture Radar (SAR) is an important tool for the collection of high-resolution, all-weather image data and has application to tactical military systems as well as civilian systems for remote sensing.
- | In addition, SAR can be used to identify man-made objects on the ground or in the air.
- | The SAR image processing application will serve as a benchmark for comparing implementations produced by the various design methodologies (Methodologies I-V)
- | For more detailed information, see [ZUERN94].



- | The above algorithm can be partitioned into six functional blocks:
 - | Preamble detection and extraction of radar and auxiliary data from the input data stream
 - | Video to baseband I/Q conversion
 - | Range processing
 - | Corner turn
 - | Azimuth processing
 - | Output data processing
- | In order to implement the SAR algorithm in real-time by exploiting parallelism, we decomposed the data flow graph into three parallel data flow graphs.
- | Each concurrent DFG performs the computation for a specific polarization of data.



- I In order to meet real-time constraints, the computationally intensive tasks shown above are further decomposed to allow for the exploitation of parallelism inherent in the tasks.

 SAR System-Level Cost Parameters 	
Parameter	Value
SHARC 2-Processor Board Price (C_{11}^P)	\$8K
SHARC 4-Processor Board Price (C_{12}^P)	\$15K
SHARC 6-Processor Board Price (C_{13}^P)	\$25K
SHARC 8-Processor Board Price (C_{14}^P)	\$40K
SHARC 2-Processor Board Power (P_{11}^P)	12W
SHARC 4-Processor Board Power (P_{12}^P)	20W
SHARC 6-Processor Board Power (P_{13}^P)	25W
SHARC 8-Processor Board Power (P_{14}^P)	28W
DRAM Price per 4 MB (C^M)	\$1400
Estimated KSLOC	8.75
Maintenance Period (T^{MAINT})	15 years
Software Labor Cost per Person-Month (C^S)	\$15K
Software Maintenance Cost/person-month (C^{MAINT})	\$15K
Max. Number of Full-Time SW Personnel (F_{SP})	3
Annual Change Traffic (ACT)	15%
Product Deployment Deadlines (T^{SCHED})	24 months (tight) / 32 months (loose)
Product Life Cycle (2W)	36 months
SAR Processor Unit Price	\$1M
Expected Product Revenue (R_0)	\$1M * Production Volume

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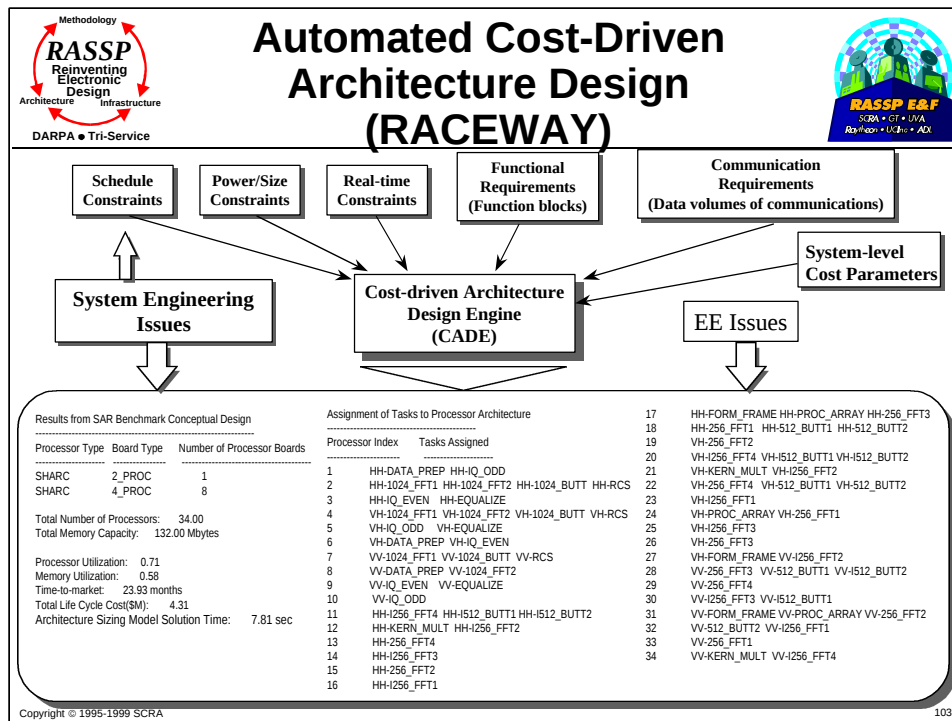
- | The standard system-level cost parameters which are used to perform cost/performance trade-offs for the SAR benchmark. These parameters are inputs to the cost-driven architecture selection model.
- | These standard model parameters remain constant throughout all models representing the various methodologies unless explicitly stated otherwise.
- | The cost of the 2-processor card is derived from the cost of two COTS processors, crossbar switches, printed circuit board area, etc. The 4-processor card consists of four COTS processors and all of the above.
- | The 2-processor and 4-processor boards contain single chip packaging technology only. The 6-processor card is comprised of three COTS MCM-L modules, with each module consisting of two COTS processor chips. The 8-processor card consists of two COTS MCM-D multichip modules, with each module consisting of three COTS processor chips. Each board can contain up to 64 MB of DRAM.
- | The DRAM price considers the the cost of the DRAM chip, the required printed circuit board area, associated interconnect, etc.
- | The software size estimate has been adjusted for reuse of DSP software library elements.

		SAR Software Cost Driver Ratings		
Cost Driver	Situation	Rating	Effort Multiplier	
RELY	Local use of system. No serious recovery problems	Nominal	1.00	
DATA	256 KBytes	Nominal	1.00	
CPLX	real-time signal processing routines	Very high	1.30	
VIRT	Based on COTS microprocessor hardware/software (one change every 3 months)	Nominal	1.00	
TURN	Two-hour average turnaround time	Nominal	1.00	
ACAP	Average senior analysts	Nominal	1.00	
AEXP	Three years	Nominal	1.00	
PCAP	Average senior programmers	Nominal	1.00	
VEXP	Ten months	Nominal	1.00	
LEXP	Eighteen months	Nominal	1.00	
MODP	Some techniques in use over one year	Nominal	1.00	
TOOL	At basic minicomputer tool level	Nominal	1.00	
REQV	requirements have a very small amount of ambiguity	Nominal	1.00	
REUSE	No reuse is required	Nominal	1.00	
SECU	commercial product (unclassified)	Nominal	1.00	
PLAT	Unmanned airborne	Nominal	1.40	
Effort adjustment factor (product of effort multipliers)			1.82	

$$\left(\prod_{i=1}^{16} F_i \right)$$

STEP 1 → STEP 2

- I Effort multiplier values used to perform the cost analysis for the various design methodologies (I-V).



- | Example output file generated by Cost-Driven Architecture Design Engine (CADE) , being commercialized by VP Technologies (www.vptinc.com), which uses example architecture selection mathematical programming model, presented in the previous slides, to automatically generate optimum architectural implementations for the SAR application. The output includes:
 - | The number and type of processors
 - | The amount of DRAM required
 - | The time-to-market
 - | Life cycle cost
 - | HW Resource Utilization
 - | Mapping of SAR algorithm tasks to processor elements



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SAR Architectural Profiles



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Design Methodology	Volume	Processor Architecture (number of boards per config.)					Number of Proc.	Mem. Alloc.	Utilization (%)	
		Proc. Type	2-Proc.	4-Proc.	6-Proc.	8-Proc.			Proc.	Mem.
I (Min HW)	*	SHARC	1	6	0	0	26	84	95	91
II (CM) Tight Schedule Constraint	10	SHARC	0	9	0	0	36	144	69	53
	50	SHARC	1	8	0	0	34	124	73	62
	100	SHARC	1	8	0	0	34	124	73	62
II (CM) Relaxed Schedule Constraint	10	SHARC	0	9	0	0	36	144	69	53
	50	SHARC	1	7	0	0	30	108	83	71
	100	SHARC	0	7	0	0	28	108	89	71
II (CM) Relaxed Schedule and Power Constraints	10	SHARC	0	0	0	4	32	152	78	50
	50	SHARC	0	0	0	4	32	152	78	50
	100	SHARC	0	0	0	4	32	152	78	50
IV (CM + VP) Tight Schedule Constraint	10	SHARC	0	9	0	0	36	112	69	68
	50	SHARC	0	7	0	0	28	100	89	77
	100	SHARC	0	7	0	0	28	92	89	83
V (IV + RASSP Meth.) Tight Schedule Constraint	10	SHARC	1	7	0	0	30	108	83	71
	50	SHARC	0	7	0	0	28	92	89	83
	100	SHARC	0	7	0	0	28	88	89	87

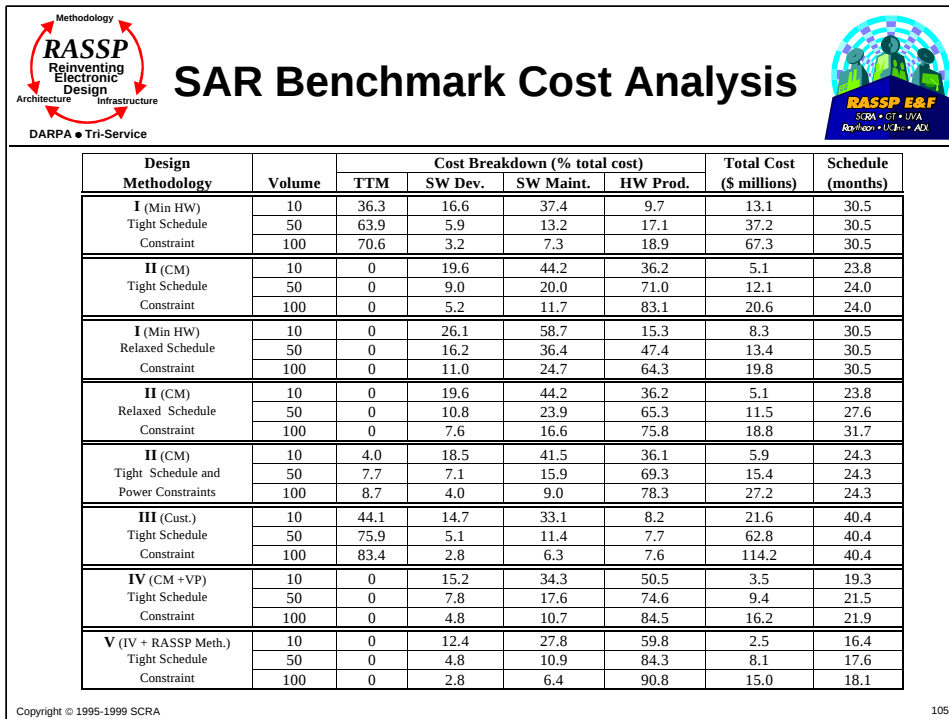
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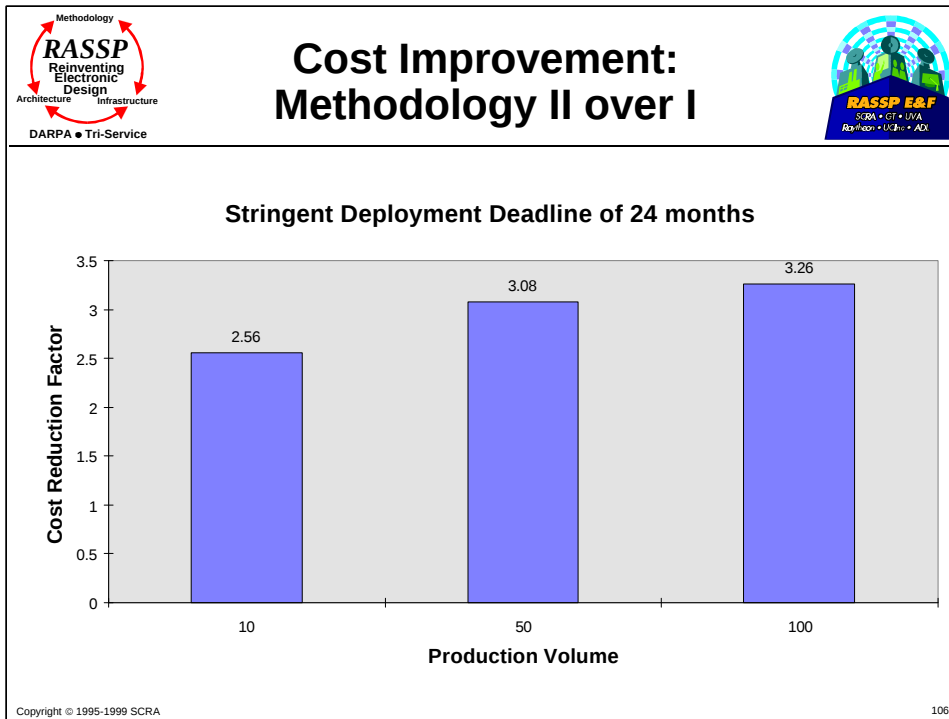
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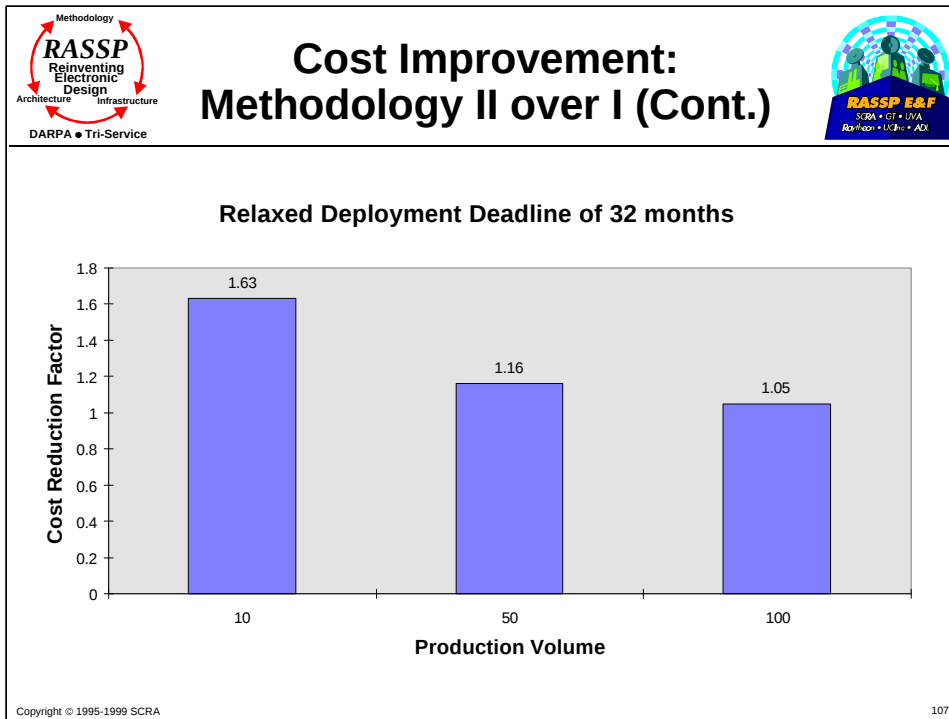
- | SAR architectural profiles produced by the various system-level design and test methodologies over a range of production volumes.
- | Due to the low cost of DRAM with respect to COTS processor cost, memory margins are extended more than execution time margins when attempting to reduce software development time and cost.
- | However, as production volume increases, both processor and memory resources will be somewhat restricted to balance the hardware production costs against the time-to-market costs.



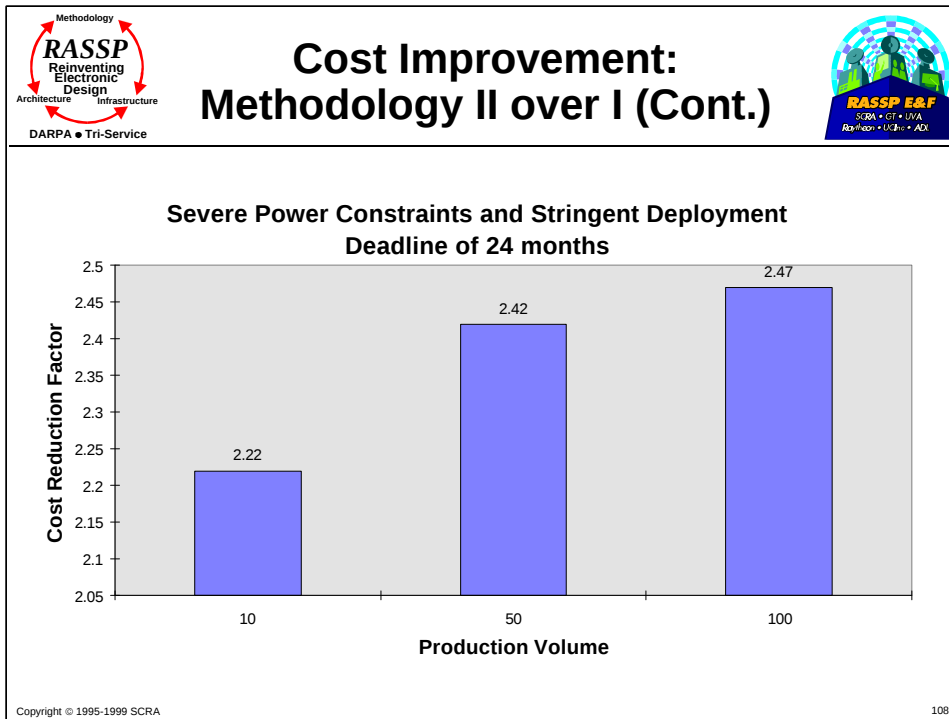
- | The cost and schedule of SAR implementations generated by the proposed design and test methodologies.
- | **Methodology I:** Under tight schedule constraints, software costs account for a large portion of the overall system costs for low production volumes. However, as production volume increases, time-to-market costs dominate the system costs due to the increased revenue losses resulting from being six months late to market. When the schedule is relaxed, the approach still suffers from high software costs at low production volumes. But as the volume increases, the minimum hardware cost approach approaches that of the minimum system cost.
- | **Methodologies II, IV, V:** Under tight schedule constraints, the cost modeling-based approaches relax the hardware architecture to ensure that the time-to-market deadline is met as a first priority. As volume increase, the hardware resources are restricted to reduce hardware production costs while continuing to meet the time-to-market deadline.
- | **Methodology III:** For low volume production runs and tight schedule constraints, software development costs and time-to-market costs dominate the system costs due to tight execution time and memory margins. However, due to the enormously long schedule delays, time-to-market costs will be dominant as the production volume increases.
- | The following cost improvement graphs illustrates this analysis.



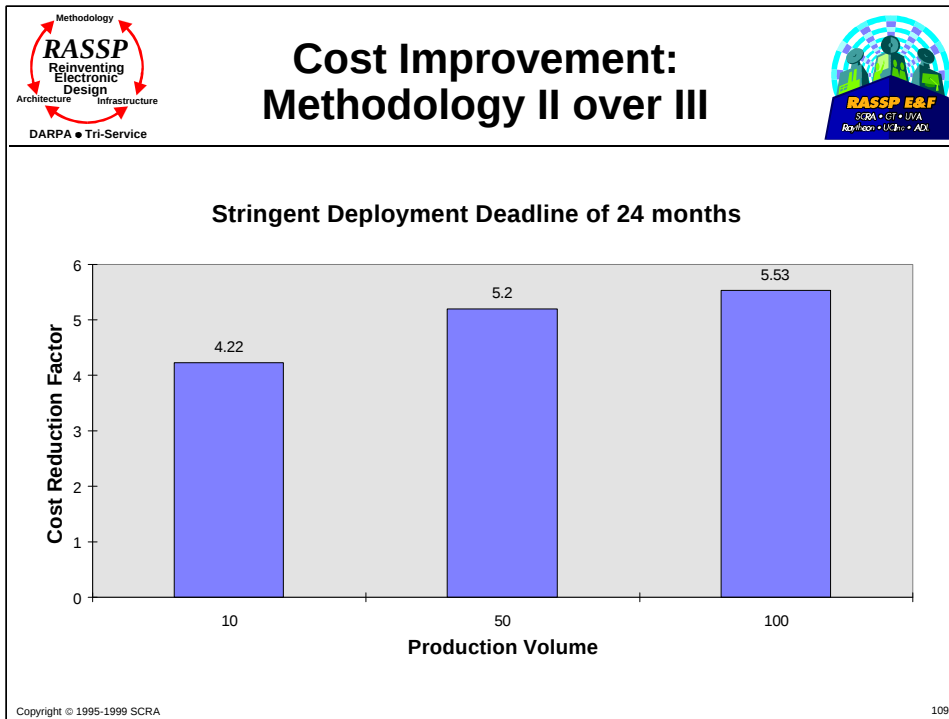
- Methodology I: Under tight schedule constraints, software costs account for a large portion of the overall system costs for low production volumes. However, as production volume increases, time-to-market costs dominate the system costs due to the increased revenue losses resulting from being six months late to market. When the schedule is relaxed, the approach still suffers from high software costs at low production volumes. But as the volume increases, the minimum hardware cost approach approaches that of the minimum system cost.
- Methodologies II: Under tight schedule constraints, the cost modeling-based approaches relax the hardware architecture to ensure that the time-to-market deadline is met as a first priority. As volume increase, the hardware resources are restricted to reduce hardware production costs while continuing to meet the time-to-market deadline.
- For more detailed information on the following slides, see [DEB97].



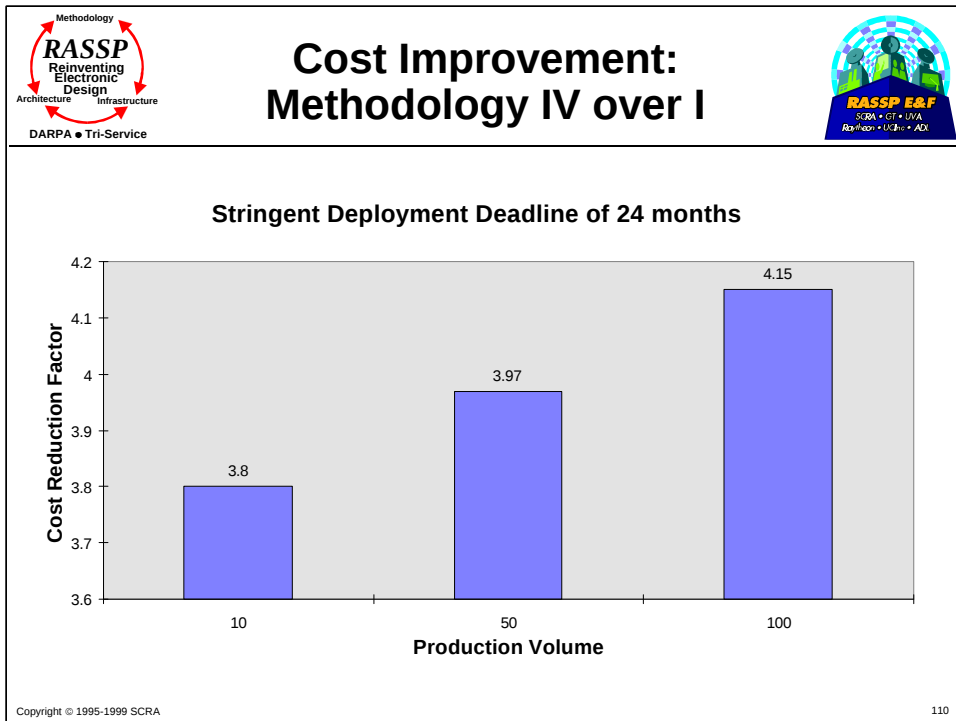
- I Methodology I: Under tight schedule constraints, software costs account for a large portion of the overall system costs for low production volumes. However, as production volume increases, time-to-market costs dominate the system costs due to the increased revenue losses resulting from being six months late to market. When the schedule is relaxed, the approach still suffers from high software costs at low production volumes. But as the volume increases, the minimum hardware cost approach approaches that of the minimum system cost.
- I Methodologies II: Under tight schedule constraints, the cost modeling-based approaches relax the hardware architecture to ensure that the time-to-market deadline is met as a first priority. As volume increase, the hardware resources are restricted to reduce hardware production costs while continuing to meet the time-to-market deadline.



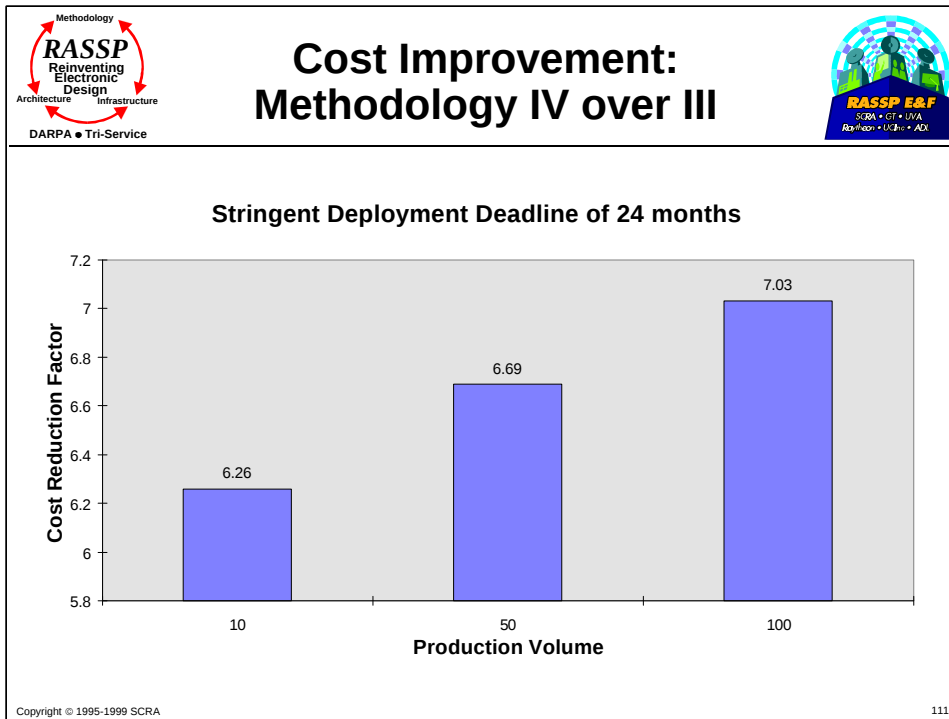
- | The severe power constraint is 150 Watts.
- | This case shows that denser MCM technologies can be effectively utilized to minimize schedule delays due to form factor constraints on the system implementation.



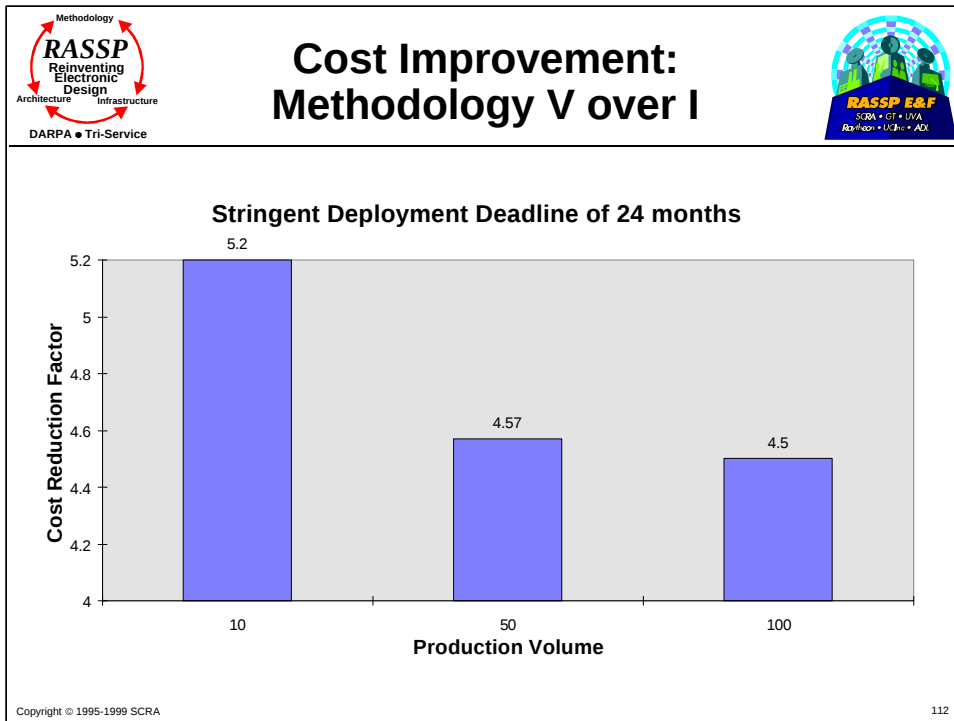
- | An increased cost size of 12K SLOC is assumed for customization of software routines, as well as, an increase of 6 months in overall development time due to hardware custom board design time is assumed.
- | There is a 40% reduction in overall board level cost due to this approach.



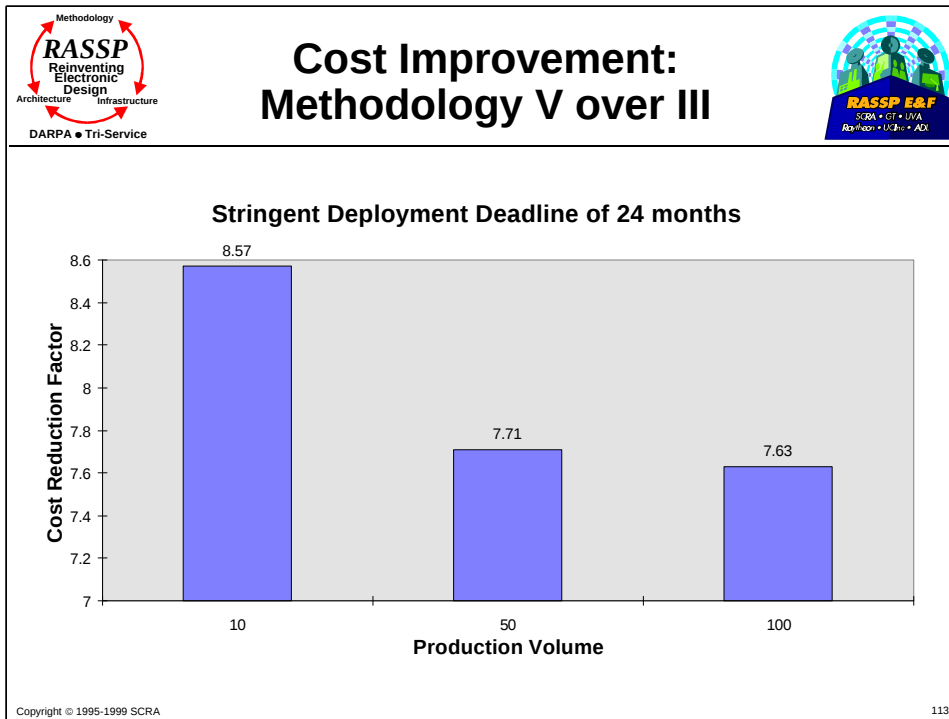
- I The figure illustrates the large reduction in provided by Methodology IV over Methodology I. It is assume that virtual prototyping provides a 2X improvement in development productivity.



- I **Methodology IV:** Under tight schedule constraints, the cost modeling-based approaches relax the hardware architecture to ensure that the time-to-market deadline is met as a first priority. As volume increase, the hardware resources are restricted to reduce hardware production costs while continuing to meet the time-to-market deadline.
- I **Methodology III:** For low volume production runs and tight schedule constraints, software development costs and time-to-market costs dominate the system costs due to tight execution time and memory margins. However, due to the enormously long schedule delays, time-to-market costs will be dominant as the production volume increases.



- | This graph shows the effect of the use of the RASSP methodology on life cycle cost.
- | We assume there is an additional 1.96X improvement in development productivity due to the routine use of top-down design and programming methodologies and fully integrated software development tool environments.



- I Methodology V: Under tight schedule constraints, the cost modeling-based approaches relax the hardware architecture to ensure that the time-to-market deadline is met as a first priority. As volume increase, the hardware resources are restricted to reduce hardware production costs while continuing to meet the time-to-market deadline.
- I Methodology III: For low volume production runs and tight schedule constraints, software development costs and time-to-market costs dominate the system costs due to tight execution time and memory margins. However, due to the enormously long schedule delays, time-to-market costs will be dominant as the production volume increases.



Module Outline



- | Introduction to Cost Modeling-Based Embedded Systems Design
- | Software Cost Estimation Process
- | Parametric Software Cost Models
- | Parametric Hardware Cost Models
- | Applications of Cost Modeling to the RASSP Design Process
- | Summary and Major Issues



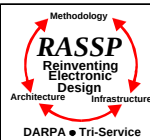
Summary



- | **This module demonstrated the cost-effectiveness system-level design methodologies that incorporate cost modeling**
- | **In practice, system engineering (SE) drives EE design**
 - m Front-end SE typically involves less than 4% of the total prototyping time and cost, but accounts for over 70% of a project's life cycle cost
- | **Cost models, often organization-specific for maximum accuracy, are most effective if used to drive early system design**
 - m No specific cost model or objective function is recommended for use by all sectors of the electronics industry
 - m These cost models can only be developed and refined through historical information collected within the specific industry sectors
 - m Cost models can be integrated into the EE aspects of the design process in a seamless manner

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- | We wish to emphasize that it is not necessary to use a certain cost model, or prefer one over other. Organizations should choose their own cost models after careful study and use the methodology proposed in this module to develop design processes and options that are relevant to their organizational objectives.



Summary (Cont.)



- | **The focus of this module was on requirements of the SE/EE interface as opposed to the specification**
- | **The SAR case study demonstrated:**
 - m **how modern design and test methodologies can benefit from the automated use of cost models early on in the design process**
 - m **the relationship between time-to-market/cost with the system architecture**
 - m **an order of magnitude improvement in cost-related objective functions**
- | **Parametric cost estimators have recently been used in industry with a great deal of success**
 - m **SEER was used on Motorola's Iridium project, one largest and most complex software projects ever**
 - m **The tool appears to have estimated software development cost within 3% of project actuals**

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- | **New tools corresponding to system-level design automation are expected to rely on cost modeling to synthesize hardware and software architectures (www.vptinc.com).**



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